

**UPDATE
GEOTECHNICAL REPORT**

**OTAY RANCH VILLAGE 7
NEIGHBORHOOD R-4
CHULA VISTA, CALIFORNIA**



GEOCON
INCORPORATED

GEOTECHNICAL
ENVIRONMENTAL
MATERIALS

PREPARED FOR



BALDWIN & SONS

**OCTOBER 25, 2022
REVISED MAY 8, 2024
PROJECT NO. 06862-52-66A**



Project No. 06862-52-66A
October 25, 2022
Revised May 8, 2024

Baldwin & Sons, Inc.
610 West Ash Street, Suite 1500
San Diego, California 92101

Attention: Ms. Maria Miller

Subject: UPDATE GEOTECHNICAL REPORT
OTAY RANCH VILLAGE 7
NEIGHBORHOOD R-4
CHULA VISTA, CALIFORNIA

Dear Ms. Miller:

In accordance with your request and our proposal No. LG-22461, dated September 29, 2022, we prepared this update geotechnical report for the subject project. The accompanying report presents the results of our study and conclusions and recommendations pertaining to the geotechnical aspects of proposed development of the site. We revised this report to address the 3rd party comments prepared by Michael Baker International.

The site was partially graded as part of the Village 7 project and recently sheet-graded as part of the Otay Ranch Village 8 West development, The site is located south of Santa Luna Street, east of La Media Road and west and north of Village 8 West in Chula Vista, California. Provided the recommendations contained in this update report are followed, the site is considered suitable for construction and support of the proposed development.

Should you have questions regarding this report, or if we may be of further service, please contact the undersigned at your convenience.

Very truly yours,

GEOCON INCORPORATED

Kenneth W. Haase
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(e-mail) Addressee



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UPDATE GEOTECHNICAL REPORT

1. PURPOSE AND SCOPE

This report presents the results of our update geotechnical study for Neighborhood R-4 in the Otay Ranch Village 7 development. The site is located south of Santa Luna Street, east of La Media Road and north and west of Otay Ranch Village 8 West. The site is located in the City of Chula Vista, California as shown on the Vicinity Map.



Vicinity Map

The purpose of this update report is to provide foundation design criteria, concrete flatwork design criteria, retaining wall and lateral load recommendations and excavation and remedial grading recommendations. The scope of the study also included a review of:

1. *Final Report of Testing and Observation Services Performed During Site Grading, Otay Ranch Village 7, Neighborhood R-2, Chula Vista, California*, prepared by Geocon Incorporated, dated April 12, 2006 (Project No. 06862-52-03A).
2. *Final Report of Testing and Observation Services Performed During Site Grading, Otay Ranch Village 8 West, Santa Luna Off-Site, Chula Vista, California*, prepared by Geocon Incorporated, dated October 26, 2020 (Project No. 06862-52-66).
3. *Site Plan, Santa Luna, Chula Vista, California*, prepared by AO Architecture, dated October 5, 2023. (Job No. 2022-314).

2. PREVIOUS SITE DEVELOPMENT

The site was partially graded during development of Otay Ranch Village 7, Neighborhood R-2 in 2006. Fill slopes were constructed to facilitate the construction of La Media Road and Santa Luna Street with portions of these slopes extending onto the R-4 site. We provided testing and observation services during the prior grading operations. Before additional grading in 2020, the site was used as storm water management area with a basin and storm drain structure.

The most recent phase of grading (2020) consisted of removing surficial topsoil and weathered portions of the Otay Formation, installing a canyon subdrain and placing compacted fill to achieve the sheet-graded condition. In addition, a storm drain headwall and concrete pipe was removed in the northwest corner of the site. We provided testing and observation services during the most recent grading operations. The referenced grading report dated October 26, 2020 presents a summary of the observations, compaction test results, and professional opinions pertaining to the grading. The remedial grading operations exposed the underlying Otay Formation and benching of existing fill was performed during placement of compacted fill. A portion of the Otay Formation was left in place as it was out of previous limits of grading. A southerly flowing canyon subdrain was installed subsequent to removals in the canyon and tied into an existing subdrain on the Village 8 West property. The approximate location of the subdrain is shown on the Geologic Map, Figure 1. Formational materials were excavated and compacted fill was placed to achieve the current as-graded conditions. The Geologic Map, Figure 1, presents the units encountered at the site.

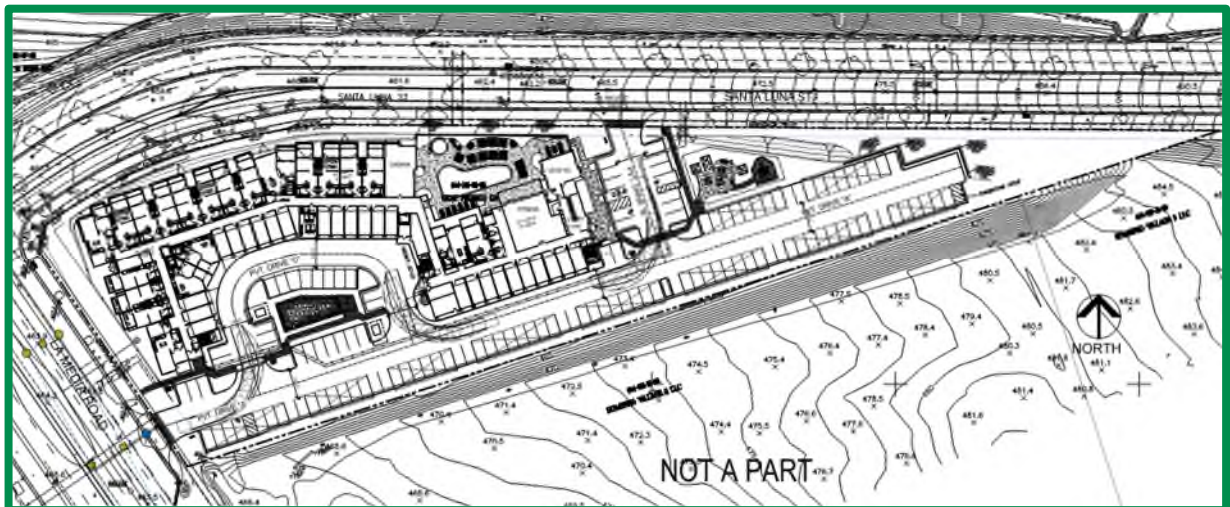
3. SITE AND PROJECT DESCRIPTION

The Neighborhood R-4 site is located at the southern end of Village 7, south of Santa Luna Street and the Vortec Site, east of La Media Road and west and north of the Otay Ranch Village 8 West development. The site is currently covered in seasonal grasses and is in a sheet-graded condition with drainage directed towards a basin in the southwest corner of the site. A northern slope ascends to Santa Luna Street with a maximum height of about 15 feet. A slope ascends to the Otay Ranch Village 8 West development on the south side of the property with a maximum height of about 15 feet. Access to the site is currently from La Media Road on the southwest corner of the property. The Existing Site Map shows the current conditions of the site.



Existing Site Map

The proposed development of the R-4 site includes the construction of an apartment building (up to 4 stories) with parking areas, leasing and fitness building, amenity space, retaining walls, utilities and landscaping. The site will be accessed by entrances along La Media Road and Santa Luna Street. Grading for Neighborhood R-4 will generally consist of cuts and fills between approximately 5 and 10 feet. The Site plan shows the planned development.



Site Plan

The locations and descriptions of the site and proposed development are based on the referenced rough grading plans and our understanding of project development. If project details vary significantly from those described herein, Geocon Incorporated should be contacted to evaluate the necessity for review and revision of this report.

4. SOIL AND GEOLOGIC CONDITIONS

The existing soil and geologic conditions include compacted fill and the Otay Formation. The approximate lateral extent of the geologic units is shown on the Geologic Map, Figure 1. The geologic units are described herein in order of increasing age. Compacted fill underlies most of the R-4 site with the Otay Formation is exposed at grade in a small cut slope in the northern portion of the site. We tested soil samples obtained at the existing surface elevations during previous grading operations as presented in Appendix A.

4.1 Compacted Fill (Qcf)

In general, the compacted fill placed in 2006 and 2020 at the site consists of sandy silt and clayey to silty sand derived from the Otay Formation. The fill thickness varies up to about 40 feet thick. We performed testing and observation services during the previous grading operations as discussed herein. The fill materials possess a “low” to “medium” expansion potential (expansion index of between 21 and 90) and a “S0” water-soluble sulfate content. The existing compacted fill is adequate to support the proposed development. However, remedial grading of the upper materials will be required as discussed herein.

4.2 Otay Formation (To)

Dense to very dense, grayish brown, silty sandstone, siltstone, and sandy claystone of the Otay Formation is exposed at grade in a small cut slope in the northern portion of the site. This unit is typically moderately to well cemented and very difficult excavation may be encountered. In addition, oversize concretions may be generated during grading operations that may require export. The formational materials are considered suitable for the support of structures or additional fill loads; however, remedial grading will be required as discussed herein.

Bentonitic claystone typically exists within the Otay Formation that consists of a highly expansive claystone with a waxy texture that is laterally continuous. The bentonitic claystone beds consist of highly expansive clays, which typically exhibit low shear strength. We did not encounter this unit during previous grading operations and do not expect to encounter this claystone during construction.

5. GROUNDWATER

We did not encounter groundwater during the investigation or during previous grading operations. Groundwater is not expected to adversely impact the development of the site. It is not uncommon for groundwater or seepage conditions to develop where none previously existed. Groundwater elevations are dependent on seasonal precipitation, irrigation, and land use, among other factors, and vary as a result. Proper surface drainage will be important to future performance of the project.

6. GEOLOGIC HAZARDS

6.1 Regional Faulting and Seismicity

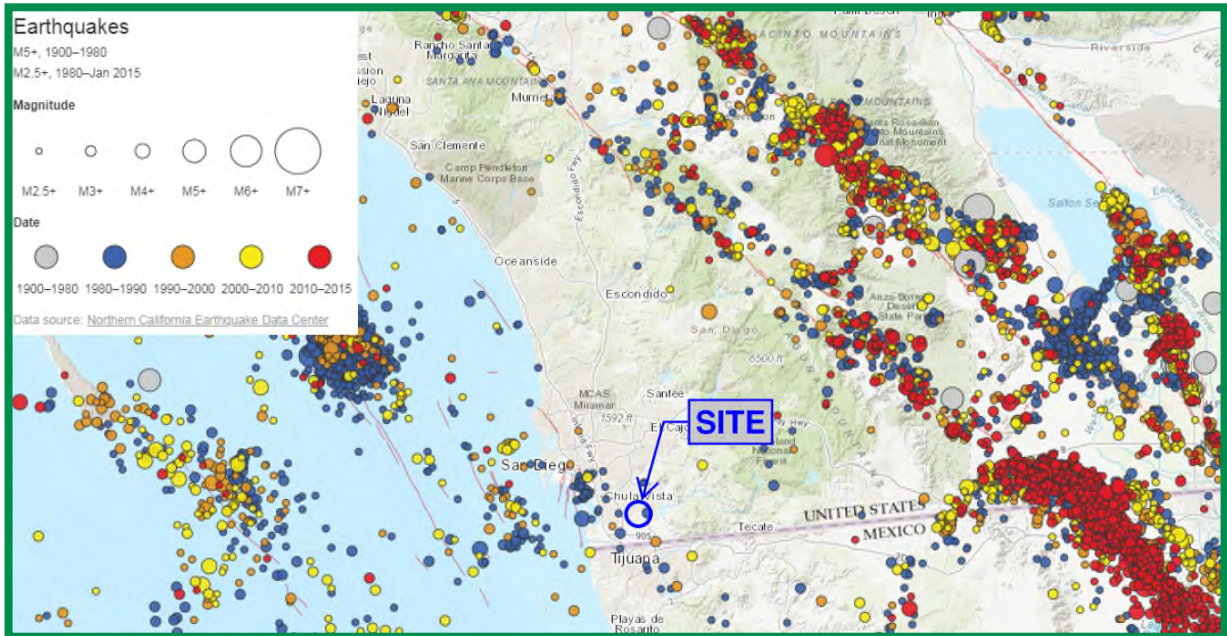
A review of the referenced geologic materials and our knowledge of the general area indicate that the site is not underlain by active, potentially active, or inactive faults. An active fault is defined by the California Geological Survey (CGS) as a fault showing evidence for activity within the last 11,700 years. The site is not located within a State of California Earthquake Fault Zone.

The USGS has developed a program to evaluate the approximate location of faulting in the area of properties. The following figure shows the location of the existing faulting in the San Diego County and Southern California region. The fault traces are shown as solid, dashed and dotted that represent well-constrained, moderately constrained and inferred, respectively. The fault line colors represent faults with ages less than 150 years (red), 15,000 years (orange), 130,000 years (green), 750,000 years (blue) and 1.6 million years (black).



Faults in Southern California

The San Diego County and Southern California region is seismically active. The following figure presents the occurrence of earthquakes with a magnitude greater than 2.5 from the period of 1900 through 2015 according to the Bay Area Earthquake Alliance website.



Earthquakes in Southern California

Considerations important in seismic design include the frequency and duration of motion and the soil conditions underlying the site. Seismic design of structures should be evaluated in accordance with the California Building Code (CBC) guidelines currently adopted by the local agency.

6.2 Ground Rupture

Ground surface rupture occurs when movement along a fault is sufficient to cause a gap or rupture where the upper edge of the fault zone intersects the ground surface. The potential for ground rupture is considered to be very low due to the absence of active faults at the subject site.

6.3 Liquefaction

Liquefaction typically occurs when a site is located in a zone with seismic activity, onsite soils are cohesionless or silt/clay with low plasticity, groundwater is encountered within 50 feet of the surface and soil densities are less than about 70 percent of the maximum dry densities. If the four previous criteria are met, a seismic event could result in a rapid pore water pressure increase from the earthquake-generated ground accelerations. Due to the lack of a permanent, near-surface groundwater table and the very dense nature of the underlying fill and Otay Formation, liquefaction potential for the site is considered very low.

6.4 Storm Surge, Tsunamis, and Seiches

Storm surges are large ocean waves that sweep across coastal areas when storms make landfall. Storm surges can cause inundation, severe erosion and backwater flooding along the waterfront. The site is located greater than 9 miles from the Pacific Ocean and is at an elevation of about 460 feet or greater above Mean Sea Level (MSL). Therefore, the potential of storm surges affecting the site is considered very low.

A tsunami is a series of long period waves generated in the ocean by a sudden displacement of large volumes of water. Causes of tsunamis include underwater earthquakes, volcanic eruptions, or offshore slope failures. The potential for the site to be affected by a tsunami is negligible due to the distance from the Pacific Ocean and the site elevation.

A seiche is a run-up of water within a lake or embayment triggered by fault- or landslide-induced ground displacement. The site is not located in the vicinity of or downstream from such bodies of water. Therefore, the risk of seiches affecting the site is negligible.

6.5 Landslides

We did not observe evidence of previous or incipient slope instability at the site during previous grading or this study. Published geologic mapping indicates landslides are not present on or adjacent to the site. Therefore, we opine the potential for future landsliding adversely affecting the proposed improvements is low provided the grading recommendations presented herein are followed.

6.6 Erosion

The site is relatively flat and is not located adjacent to the Pacific Ocean coast or a free-flowing drainage where active erosion is occurring. Provided the engineering recommendations herein are followed and the project civil engineer prepares the grading plans in accordance with generally-accepted regional standards, we do not expect erosion to be a major impact to site development. In addition, we expect the proposed development would not increase the potential for erosion if properly designed.

7. CONCLUSIONS AND RECOMMENDATIONS

7.1 General

- 7.1.1 We did not encounter soil or geologic conditions during previous geotechnical investigations or grading operations that, in our opinion, would preclude the continued development of the property as presently planned provided that the recommendations of this report are followed.
- 7.1.2 With the exception of possible moderate to strong seismic shaking, we did not observe or know of significant geologic hazards to exist on the site that would adversely affect the proposed project.
- 7.1.3 The upper, weathered portions of the Otay Formation, and upper portions of the compacted fill are potentially compressible and unsuitable in their present condition for the support of compacted fill or settlement-sensitive improvements. Remedial grading of these materials should be performed as discussed herein. The dense portions of the existing fill and Otay Formation are considered suitable for the support of proposed fill and structural loads.
- 7.1.4 We did not encounter groundwater during our subsurface exploration or during the grading operations and we do not expect it to be a constraint to project development. However, seepage within surficial soils and within the formational materials was observed during the grading operations on the western portion of the site at the lower elevations of the remedial excavations. Seepage may be encountered during grading operations, especially during the rainy season.
- 7.1.5 Excavation of the fill and the Otay Formation should generally be possible with moderate to very heavy effort using conventional, heavy-duty equipment during grading and trenching operations. We expect possible refusal in localized areas for excavations into strongly cemented portions of the Otay Formation.
- 7.1.6 Proper drainage should be maintained in order to preserve the engineering properties of the fill in both the building pads and slope areas. Recommendations for site drainage are provided herein.
- 7.1.7 Surface settlement monuments and additional canyon subdrains will not be required on this project.

7.2 Excavation and Soil Characteristics

7.2.1 Excavations of the in-situ soil should be possible with moderate to heavy effort using conventional heavy-duty equipment. Excavation of the formational materials will require very heavy effort and may generate oversized material using conventional heavy-duty equipment during the grading operations. Oversized rock (rocks greater than 12 inches in maximum dimension) generated during excavation of the Otay Formation can be incorporated within deep compacted fill areas, if available.

7.2.2 The soil encountered during previous grading operations is considered to be “expansive” (expansion index [EI] of greater than 20) as defined by 2022 California Building Code (CBC) Section 1803.5.3. We expect a majority of the soil encountered possess a “low” to “medium” expansion potential (EI of between 20 and 90) in accordance with ASTM D 4829. Table 7.2 presents soil classifications based on the expansion index.

**TABLE 7.2
EXPANSION CLASSIFICATION BASED ON EXPANSION INDEX**

Expansion Index (EI)	ASTM D 4829 Expansion Classification	2022 CBC Expansion Classification
0 – 20	Very Low	Non-Expansive
21 – 50	Low	Expansive
51 – 90	Medium	
91 – 130	High	
Greater Than 130	Very High	

7.2.3 Laboratory tests performed during previous grading operations indicate the on-site materials at the locations tested possess “S0” sulfate exposure to concrete structures as defined by 2022 CBC Section 1904 and ACI 318-19 Chapter 19. The presence of water-soluble sulfates is not a visually discernible characteristic; therefore, other soil samples from the site could yield different concentrations. Additionally, over time landscaping activities (i.e., addition of fertilizers and other soil nutrients) may affect the concentration.

7.2.4 Geocon Incorporated does not practice in the field of corrosion engineering. Therefore, further evaluation by a corrosion engineer may be performed if improvements susceptible to corrosion are planned.

7.3 Seismic Design Criteria

7.3.1 Table 7.3.1 summarizes site-specific design criteria obtained from the 2022 California Building Code (CBC; Based on the 2018 International Building Code [IBC] and ASCE 7-16), Chapter 16 Structural Design, Section 1613 Earthquake Loads. We used the computer program *U.S. Seismic Design Maps*, provided by the Structural Engineers Association (SEA) to calculate the seismic design parameters. The short spectral response uses a period of 0.2 second. We evaluated the Site Class based on the discussion in Section 1613.2.2 of the 2022 CBC and Table 20.3-1 of ASCE 7-16. The buildings and improvements should be designed using a Site Class C where the fill thickness is 20 feet or less or a Site Class D where the fill is thicker than 20 feet. The values presented herein are for the risk-targeted maximum considered earthquake (MCE_R). Sites designated as Site Class D, E and F may require additional analyses if requested by the project structural engineer and client.

**TABLE 7.3.1
2022 CBC SEISMIC DESIGN PARAMETERS**

Parameter	Value		2022 CBC Reference
	C	D	
Site Class	C	D	Section 1613.2.2
Fill Thickness, T (feet)	T<20	T≥20	--
MCE_R Ground Motion Spectral Response Acceleration – Class B (short), S_S	0.764g	0.764g	Figure 1613.2.1(1)
MCE_R Ground Motion Spectral Response Acceleration – Class B (1 sec), S_1	0.277g	0.277g	Figure 1613.2.1(2)
Site Coefficient, F_A	1.200	1.200	Table 1613.2.3(1)
Site Coefficient, F_V	1.500*	2.045*	Table 1613.2.3(2)
Site Class Modified MCE_R Spectral Response Acceleration (short), S_{MS}	0.916g	0.916g	Section 1613.2.3 (Eqn 16-36)
Site Class Modified MCE_R Spectral Response Acceleration – (1 sec), S_{M1}	0.416g*	0.567g*	Section 1613.2.3 (Eqn 16-37)
5% Damped Design Spectral Response Acceleration (short), S_{DS}	0.611g	0.611g	Section 1613.2.4 (Eqn 16-38)
5% Damped Design Spectral Response Acceleration (1 sec), S_{D1}	0.277g*	0.378g*	Section 1613.2.4 (Eqn 16-39)

*See following paragraph

7.3.2 Using the code-based values presented in this Table 7.3.1, in lieu of a performing a ground motion hazard analysis, requires the exceptions outlined in ASCE 7-16 Section 11.4.8 be followed by the project structural engineer. Per Section 11.4.8 of ASCE/SEI 7-16, a ground motion hazard analysis should be performed for projects for Site Class “D” sites with S_1 greater than 0.2g. Section 11.4.8 also provides exceptions which indicates that the ground

motion hazard analysis may be waived provided the exceptions are followed. Supplement 3 of ASCE 7-16 provides an exception stating that the GMHA may be waived provided that the parameter S_{M1} is increased by 50% for all applications of S_{M1} . The values for parameters S_{M1} and S_{D1} presented herein above have **not** been increased in accordance with Supplement 3 of ASCE 7-16.

7.3.3 Table 7.3.2 presents the mapped maximum considered geometric mean (MCE_G) seismic design parameters for projects located in Seismic Design Categories of D through F in accordance with ASCE 7-16.

**TABLE 7.3.2
2022 CBC SITE ACCELERATION DESIGN PARAMETERS**

Parameter	Value		ASCE 7-16
Site Class	C	D	--
Fill Thickness, T (Feet)	$T \leq 20$	$T > 20$	--
Mapped MCE_G Peak Ground Acceleration, PGA	0.332g	0.332g	Figure 22-9
Site Coefficient, F_{PGA}	1.200	1.268	Table 11.8-1
Site Class Modified MCE_G Peak Ground Acceleration, PGA_M	0.398g	0.421g	Section 11.8.3 (Eqn 11.8-1)

7.3.4 Conformance to the criteria in Tables 7.3.1 and 7.3.2 for seismic design does not constitute any kind of guarantee or assurance that significant structural damage or ground failure will not occur in the event of a large earthquake. The primary goal of seismic design is to protect life, not to avoid all damage, since such design may be economically prohibitive.

7.3.5 The project structural engineer and architect should evaluate the appropriate Risk Category and Seismic Design Category for the planned structures. The values presented herein assume a Risk Category of II and resulting in a Seismic Design Category D. Table 7.3.3 presents a summary of the risk categories in accordance with ASCE 7-16.

**TABLE 7.3.3
ASCE 7-16 RISK CATEGORIES**

Risk Category	Building Use	Examples
I	Low risk to Human Life at Failure	Barn, Storage Shelter
II	Nominal Risk to Human Life at Failure (Buildings Not Designated as I, III or IV)	Residential, Commercial and Industrial Buildings
III	Substantial Risk to Human Life at Failure	Theaters, Lecture Halls, Dining Halls, Schools, Prisons, Small Healthcare Facilities, Infrastructure Plants, Storage for Explosives/Toxins
IV	Essential Facilities	Hazardous Material Facilities, Hospitals, Fire and Rescue, Emergency Shelters, Police Stations, Power Stations, Aviation Control Facilities, National Defense, Water Storage

7.4 Grading

- 7.4.1 Grading should be performed in accordance with the recommendations provided in this report, the Recommended Grading Specifications contained in Appendix B and the City of Chula Vista’s Grading Ordinance. Geocon Incorporated should observe the grading operations on a full-time basis and provide testing during the fill placement.
- 7.4.2 Prior to commencing grading operations, a preconstruction meeting should be held at the site with the owner or developer, grading contractor, city representative, civil engineer and geotechnical engineer in attendance. Special soil handling and/or the grading plans can be discussed at that time.
- 7.4.3 Site preparation should begin with the removal of deleterious material, debris and vegetation. The depth of vegetation removal should be such that material exposed in cut areas or soil to be used as fill is relatively free of organic matter. Material generated during stripping and/or site demolition should be exported from the site. Asphalt and concrete should not be mixed with the fill soil unless approved by the Geotechnical Engineer.
- 7.4.4 The upper 1 to 2 feet of the existing fill should be processed or removed, moisture conditioned as necessary, and compacted prior to placing fill. Deeper excavations may be required if dry, saturated or soft soil is encountered (e.g. in the area of the desilting basin). In addition, building pads with formational cut-fill transitions and pads underlain by formational materials should be undercut a minimum of 3 feet and replaced with properly compacted fill. The upper 3 feet of the building pads should be composed of compacted fill. Undercuts should be sloped

a minimum of 1 percent and drain toward the adjacent on-site streets or driveways. The undercut and formational excavations should extend at least 5 feet outside of the planned building areas.

7.4.5 The City of Chula Vista requires that the upper 5 feet of fill soil and the upper 3 feet of formational materials within the public right-of-way or public easement possess an expansion index of 90 or less. If material with an expansion index greater than 90 is exposed within the right-of-ways, the upper 5 feet of compacted fills and the upper 3 feet of formational should be removed and replaced with fill with an expansion index of 90 or less. Proposed alternative methods should be approved by the City of Chula Vista within the right-of-way areas.

7.4.6 We should observe the grading operations and the removal bottoms to check the exposure of the formational materials prior to the placement of compacted fill. Deeper excavations may be required if highly weathered formational materials are present at the base of the removals. Table 7.4.1 provides a summary of the grading recommendations.

**TABLE 7.4.1
SUMMARY OF GRADING RECOMMENDATIONS**

Area	Remedial Grading Excavation Recommendations
Site Development	Process Upper 1 to 2 Feet of Existing Fill
	Undercut Upper 3 Feet of Formational Materials Below Finish Grade
Street and Right-Of-Way	Upper 5 Feet of Fill/3 Feet of Formation
	Expansion Index of 90 or Less
Exposed Bottoms of Remedial Grading	Scarify Upper 12 Inches
	Slope 1 Percent to Adjacent Street or Deepest Fill

7.4.7 The site should then be brought to final subgrade elevations with fill compacted in layers. In general, soil native to the site is suitable for use from a geotechnical engineering standpoint as fill if relatively free from vegetation, debris and other deleterious material. Layers of fill should be about 6 to 8 inches in loose thickness and no thicker than will allow for adequate bonding and compaction. Fill, including backfill and scarified ground surfaces, should be compacted to a dry density of at least 90 percent of the laboratory maximum dry density near to slightly above optimum moisture content in accordance with ASTM Test Procedure D 1557. Fill materials placed below optimum moisture content may require additional moisture conditioning prior to placing additional fill. The upper 12 inches of subgrade soil underlying vehicular pavement should be compacted to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content shortly before paving operations.

7.4.8 Import fill (if necessary) should consist of the characteristics presented in Table 7.4.2. Geocon Incorporated should be notified of the import soil source and should perform laboratory testing of import soil prior to its arrival at the site to determine its suitability as fill material.

**TABLE 7.4.2
SUMMARY OF IMPORT FILL RECOMMENDATIONS**

Soil Characteristic	Values
Expansion Potential	“Very Low” to “Medium” (Expansion Index of 90 or less)
Particle Size	Maximum Dimension Less Than 3 Inches
	Generally Free of Debris

7.5 Temporary Excavations

7.5.1 The recommendations included herein are provided for stable excavations. It is the responsibility of the contractor and their competent person to ensure all excavations, temporary slopes and trenches are properly constructed and maintained in accordance with applicable OSHA guidelines in order to maintain safety and the stability of the excavations and adjacent improvements. These excavations should not be allowed to become saturated or to dry out. Surcharge loads should not be permitted to a distance equal to the height of the excavation from the top of the excavation. The top of the excavation should be a minimum of 15 feet from the edge of existing improvements. Excavations steeper than those recommended or closer than 15 feet from an existing surface improvement should be stored in accordance with applicable OSHA codes and regulations.

7.5.2 The stability of the excavations is dependent on the design and construction of the shoring system and site conditions. Therefore, Geocon Incorporated cannot be responsible for site safety and the stability of the proposed excavations.

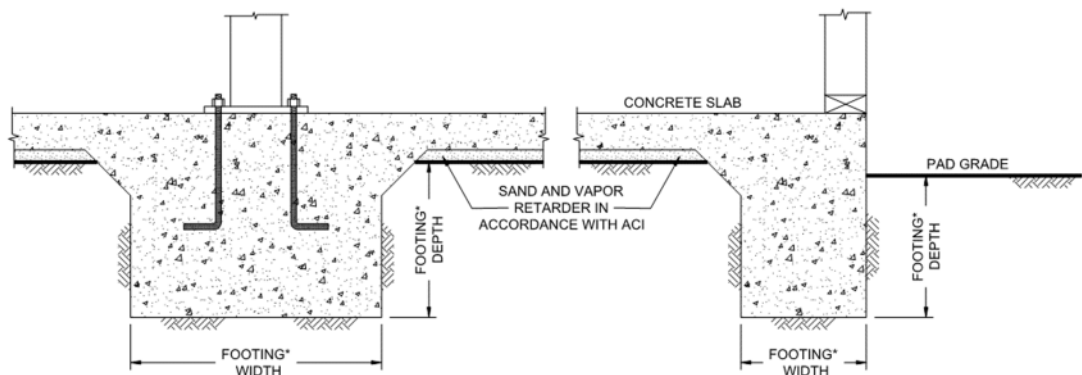
7.6 Foundation and Concrete Slabs-On-Grade Recommendations

7.6.1 The foundation recommendations herein are for proposed buildings up to 4 stories. The proposed buildings can be supported on a shallow foundation system founded in the compacted fill. Foundations for the structure should consist of continuous strip footings and/or isolated spread footings. Footings should be deepened such that the bottom outside edge of the footing is at least 7 feet horizontally from the face of the slope. Table 7.6.1 provides a summary of the foundation design recommendations. Based on review of the laboratory test results performed during mass grading, we expect majority of the soil encountered on site is planned to possess a “very low” to “medium” expansion potential (expansion index of 90 or less).

**TABLE 7.6.1
SUMMARY OF FOUNDATION RECOMMENDATIONS**

Parameter	Value
Minimum Continuous Foundation Width	12 inches
Minimum Isolated Foundation Width	24 inches
Minimum Foundation Depth	24 Inches Below Lowest Adjacent Grade
Minimum Steel Reinforcement	4 No. 5 Bars, 2 at the Top and 2 at the Bottom
Allowable Bearing Capacity	2,500 psf
Bearing Capacity Increase	500 psf per Foot of Depth
	300 psf per Foot of Width
Maximum Allowable Bearing Capacity	4,000 psf
Estimated Total Settlement	1 Inch
Estimated Differential Settlement	½ Inch in 40 Feet
Footing Size Used for Settlement	7-Foot Square
Design Expansion Index	90 or less

7.6.2 The foundations should be embedded in accordance with the recommendations herein and the Wall/Column Footing Dimension Detail. The embedment depths should be measured from the lowest adjacent pad grade for both interior and exterior footings. Footings should be deepened such that the bottom outside edge of the footing is at least 7 feet horizontally from the face of the slope (unless designed with a post-tensioned foundation system as discussed herein).



Wall/Column Footing Dimension Detail

7.6.3 The bearing capacity values presented herein are for dead plus live loads and may be increased by one-third when considering transient loads due to wind or seismic forces.

7.6.4 The concrete slab-on-grades should be a designed in accordance with Table 7.6.2.

**TABLE 7.6.2
CONCRETE SLAB-ON-GRADE RECOMMENDATIONS BY CATEGORY**

Minimum Concrete Slab Thickness (inches)	Interior Slab Reinforcement	Typical Slab Underlayment
5	No. 3 bars at 18 inches on center, both directions	3 to 4 Inches of Sand/Gravel/Base

- 7.6.5 Slabs that may receive moisture-sensitive floor coverings or may be used to store moisture-sensitive materials should be underlain by a vapor retarder. The vapor retarder design should be consistent with the guidelines presented in the American Concrete Institute’s (ACI) *Guide for Concrete Slabs that Receive Moisture-Sensitive Flooring Materials* (ACI 302.2R-06). The vapor retarder used should be specified by the project architect or developer based on the type of floor covering that will be installed and if the structure will possess a humidity controlled environment.
- 7.6.6 The bedding sand thickness should be determined by the project foundation engineer, architect, and/or developer. However, we should be contacted to provide recommendations if the bedding sand is thicker than 6 inches. It is common to see 3 inches to 4 inches of sand below the concrete slab-on-grade in the southern California area. The foundation design engineer should provide appropriate concrete mix design criteria and curing measures to assure proper curing of the slab by reducing the potential for rapid moisture loss and subsequent cracking and/or slab curl. We suggest that the foundation design engineer present the concrete mix design and proper curing methods on the foundation plans. It is critical that the foundation contractor understands and follows the recommendations presented on the foundation plans.
- 7.6.7 As an alternative to the conventional foundation recommendations, consideration should be given to the use of post-tensioned concrete slab and foundation systems for the support of the proposed structures. If a post-tensioned system is being used, the proposed buildings would be designated with a Foundation Category once grading is completed. The post-tensioned systems should be designed by a structural engineer experienced in post-tensioned slab design and design criteria of the Post-Tensioning Institute (PTI) DC 10.5-12 *Standard Requirements for Design and Analysis of Shallow Post-Tensioned Concrete Foundations on Expansive Soils* or *WRI/CRSI Design of Slab-on-Ground Foundations*, as required by the 2022 California Building Code (CBC Section 1808.6.2). Although this procedure was developed for expansive soil conditions, it can also be used to reduce the potential for foundation distress due to differential fill settlement. The post-tensioned design should incorporate the geotechnical parameters presented in Table 7.6.3 for the particular Foundation Category designated. The

parameters presented in Table 7.6.3 are based on the guidelines presented in the PTI DC 10.5 design manual.

**TABLE 7.6.3
POST-TENSIONED FOUNDATION SYSTEM DESIGN PARAMETERS**

Post-Tensioning Institute (PTI) DC10.5 Design Parameters	Foundation Category
Thornthwaite Index	-20
Equilibrium Suction	3.9
Edge Lift Moisture Variation Distance, e_M (Feet)	4.9
Edge Lift, y_M (Inches)	1.58
Center Lift Moisture Variation Distance, e_M (Feet)	9.0
Center Lift, y_M (Inches)	0.66

7.6.8 The foundations for the post-tensioned slabs should be embedded in accordance with the recommendations of the structural engineer. If a post-tensioned mat foundation system is planned, the slab should possess a thickened edge with a minimum width of 12 inches and extend below the clean sand or crushed rock layer.

7.6.9 If the structural engineer proposes a post-tensioned foundation design method other than PTI, DC 10.5:

- The deflection criteria presented in Table 7.6.3 are still applicable.
- Interior stiffener beams should be used.
- The width of the perimeter foundations should be at least 12 inches.
- The perimeter footing embedment depths should be at least and 24 inches. The embedment depths should be measured from the lowest adjacent pad grade.

7.6.10 Our experience indicates post-tensioned slabs are susceptible to excessive edge lift, regardless of the underlying soil conditions. Placing reinforcing steel at the bottom of the perimeter footings and the interior stiffener beams may mitigate this potential. Current PTI design procedures primarily address the potential center lift of slabs but, because of the placement of the reinforcing tendons in the top of the slab, the resulting eccentricity after tensioning reduces the ability of the system to mitigate edge lift. The structural engineer should design the foundation system to reduce the potential of edge lift occurring for the proposed structures.

7.6.11 During the construction of the post-tension foundation system, the concrete should be placed monolithically. Under no circumstances should cold joints form between the footings/grade

beams and the slab during the construction of the post-tension foundation system unless designed by the project structural engineer.

7.6.12 Isolated footings, if present, should have the minimum embedment depth and width recommended for conventional foundations. The use of isolated footings, which are located beyond the perimeter of the building and support structural elements connected to the building, are not recommended. Where this condition cannot be avoided, the isolated footings should be connected to the building foundation system with grade beams in both directions.

7.6.13 Where buildings or other improvements are planned near the top of a slope steeper than 3:1 (horizontal:vertical), special foundations and/or design considerations are recommended due to the tendency for lateral soil movement to occur.

- For fill slopes less than 20 feet high, building footings should be deepened such that the bottom outside edge of the footing is at least 7 feet horizontally from the face of the slope.
- When located next to a descending 3:1 (horizontal:vertical) fill slope or steeper, the foundations should be extended to a depth where the minimum horizontal distance is equal to $H/3$ (where H equals the vertical distance from the top of the fill slope to the base of the fill soil) with a minimum of 7 feet but need not exceed 40 feet. The horizontal distance is measured from the outer, deepest edge of the footing to the face of the slope. An acceptable alternative to deepening the footings would be the use of a post-tensioned slab and foundation system or increased footing and slab reinforcement. Specific design parameters or recommendations for either of these alternatives can be provided once the building location and fill slope geometry have been determined.
- If swimming pools are planned, Geocon Incorporated should be contacted for a review of specific site conditions.
- Swimming pools located within 7 feet of the top of cut or fill slopes are not recommended. Where such a condition cannot be avoided, the portion of the swimming pool wall within 7 feet of the slope face be designed assuming that the adjacent soil provides no lateral support. This recommendation applies to fill slopes up to 30 feet in height, and cut slopes regardless of height. For swimming pools located near the top of fill slopes greater than 30 feet in height, additional recommendations may be required and Geocon Incorporated should be contacted for a review of specific site conditions.
- Although other improvements, which are relatively rigid or brittle, such as concrete flatwork or masonry walls, may experience some distress if located near the top of a slope, it is generally not economical to mitigate this potential. It may be possible, however, to incorporate design measures that would permit some lateral soil movement without causing extensive distress. Geocon Incorporated should be consulted for specific recommendations.

- 7.6.14 Although other improvements, which are relatively rigid or brittle, such as concrete flatwork or masonry walls, may experience some distress if located near the top of a slope, it is generally not economical to mitigate this potential. It may be possible, however, to incorporate design measures that would permit some lateral soil movement without causing extensive distress. Geocon Incorporated should be consulted for specific recommendations.
- 7.6.15 The recommendations of this report are intended to reduce the potential for cracking of slabs and foundations due to expansive soil (if present), differential settlement of fill soil with varying thicknesses. However, even with the incorporation of the recommendations presented herein, foundations, stucco walls, and slabs-on-grade placed on such conditions may still exhibit some cracking due to soil movement and/or shrinkage. The occurrence of concrete shrinkage cracks is independent of the supporting soil characteristics. Their occurrence may be reduced by limiting the slump of the concrete, proper concrete placement and curing, and by the placement of crack control joints at periodic intervals, in particular, where re-entrant slab corners occur.
- 7.6.16 Concrete slabs should be provided with adequate crack-control joints, construction joints and/or expansion joints to reduce unsightly shrinkage cracking. The design of joints should consider criteria of the American Concrete Institute (ACI) when establishing crack-control spacing. Additional steel reinforcing, concrete admixtures and/or closer crack control joint spacing should be considered where concrete-exposed finished floors are planned.
- 7.6.17 We should observe the foundation excavations prior to the placement of reinforcing steel and concrete to check that the exposed soil conditions are similar to those expected and that they have been extended to the appropriate bearing strata. Foundation modifications may be required if unexpected soil conditions are encountered.
- 7.6.18 We should provide additional design parameters as required by the project structural engineer.

7.7 Exterior Concrete Flatwork

- 7.7.1 Exterior concrete flatwork not subject to vehicular traffic should be constructed in accordance with the recommendations presented in Table 7.7. The recommended steel reinforcement would help reduce the potential for cracking.

**TABLE 7.7
MINIMUM CONCRETE FLATWORK RECOMMENDATIONS**

Expansion Index, EI	Minimum Steel Reinforcement* Options	Minimum Thickness
EI ≤ 90	6x6-W2.9/W2.9 (6x6-6/6) welded wire mesh	4 Inches
	No. 3 Bars 18 inches on center, Both Directions	
EI ≤ 130	4x4-W4.0/W4.0 (4x4-4/4) welded wire mesh	4 Inches
	No. 4 Bars 12 inches on center, Both Directions	

*In excess of 8 feet square.

- 7.7.2 The subgrade soil should be properly moisturized and compacted prior to the placement of steel and concrete. The subgrade soil should be compacted to a dry density of at least 90 percent of the laboratory maximum dry density near to slightly above optimum moisture content in accordance with ASTM D 1557.
- 7.7.3 Even with the incorporation of the recommendations of this report, the exterior concrete flatwork has a potential to experience some uplift due to expansive soil beneath grade. The steel reinforcement should overlap continuously in flatwork to reduce the potential for vertical offsets within flatwork. Additionally, flatwork should be structurally connected to the curbs, where possible, to reduce the potential for offsets between the curbs and the flatwork.
- 7.7.4 Concrete flatwork should be provided with crack control joints to reduce and/or control shrinkage cracking. Crack control spacing should be determined by the project structural engineer based upon the slab thickness and intended usage. Criteria of the American Concrete Institute (ACI) should be taken into consideration when establishing crack control spacing. Subgrade soil for exterior slabs not subjected to vehicle loads should be compacted in accordance with criteria presented in the grading section prior to concrete placement. Subgrade soil should be properly compacted and the moisture content of subgrade soil should be verified prior to placing concrete. Base materials will not be required below concrete improvements.
- 7.7.5 Where exterior flatwork abuts the structure at entrant or exit points, the exterior slab should be dowelled into the structure's foundation stemwall. This recommendation is intended to reduce the potential for differential elevations that could result from differential settlement or minor heave of the flatwork. Dowelling details should be designed by the project structural engineer.

7.7.6 The recommendations presented herein are intended to reduce the potential for cracking of exterior slabs as a result of differential movement. However, even with the incorporation of the recommendations presented herein, slabs-on-grade will still crack. The occurrence of concrete shrinkage cracks is independent of the soil supporting characteristics. Their occurrence may be reduced and/or controlled by limiting the slump of the concrete, the use of crack control joints and proper concrete placement and curing. Crack control joints should be spaced at intervals no greater than 12 feet. Literature provided by the Portland Concrete Association (PCA) and American Concrete Institute (ACI) present recommendations for proper concrete mix, construction, and curing practices, and should be incorporated into project construction.

7.8 Retaining Walls

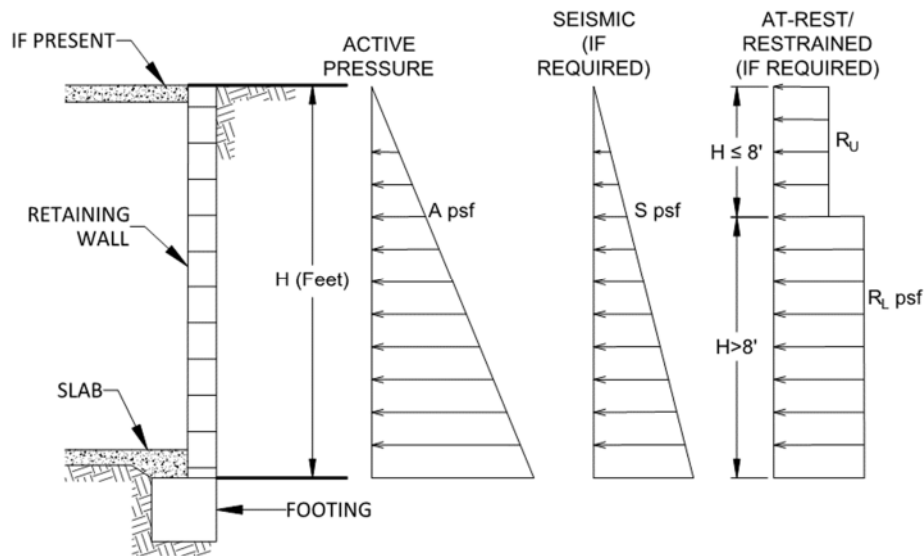
7.8.1 Retaining walls should be designed using the values presented in Table 7.8.1. Soil with an expansion index (EI) of greater than 90 should not be used as backfill material behind retaining walls.

**TABLE 7.8.1
RETAINING WALL DESIGN RECOMMENDATIONS**

Parameter	Value
Active Soil Pressure, A (Fluid Density, Level Backfill)	40 pcf
Active Soil Pressure, A (Fluid Density, 2:1 Sloping Backfill)	55 pcf
Seismic Pressure, S	12H psf
At-Rest/Restrained Walls Additional Uniform Pressure (0 to 8 Feet High)	7H psf
At-Rest/Restrained Walls Additional Uniform Pressure (8+ Feet High)	13H psf
Expected Expansion Index for the Subject Property	EI _≤ 90

H equals the height of the retaining portion of the wall

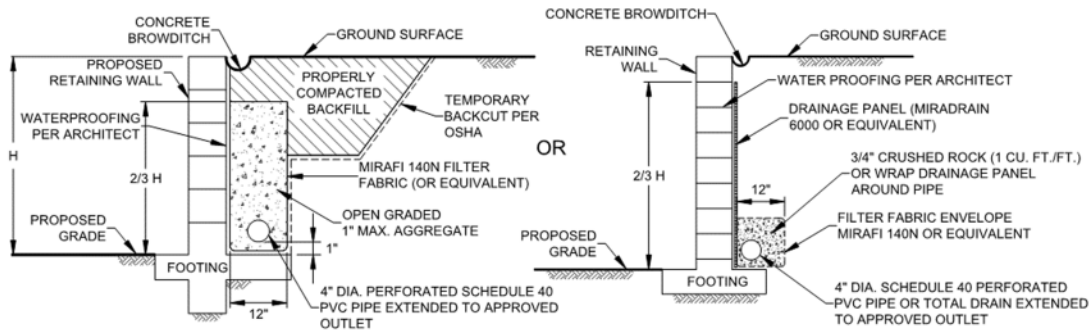
7.8.2 The project retaining walls should be designed as shown in the Retaining Wall Loading Diagram.



Retaining Wall Loading Diagram

- 7.8.3 Unrestrained walls are those that are allowed to rotate more than $0.001H$ (where H equals the height of the retaining portion of the wall) at the top of the wall. Where walls are restrained from movement at the top (at-rest condition), an additional uniform pressure should be applied to the wall. For retaining walls subject to vehicular loads within a horizontal distance equal to two-thirds the wall height, a surcharge equivalent to 2 feet of fill soil should be added.
- 7.8.4 The structural engineer should determine the Seismic Design Category for the project in accordance with Section 1613.3.5 of the 2022 CBC or Section 11.6 of ASCE 7-10. For structures assigned to Seismic Design Category of D, E, or F, retaining walls that support more than 6 feet of backfill should be designed with seismic lateral pressure in accordance with Section 1803.5.12 of the 2022 CBC. The seismic load is dependent on the retained height where H is the height of the wall, in feet, and the calculated loads result in pounds per square foot (psf) exerted at the base of the wall and zero at the top of the wall.
- 7.8.5 Retaining walls should be designed to ensure stability against overturning sliding, and excessive foundation pressure. Where a keyway is extended below the wall base with the intent to engage passive pressure and enhance sliding stability, it is not necessary to consider active pressure on the keyway.
- 7.8.6 Drainage openings through the base of the wall (weep holes) should not be used where the seepage could be a nuisance or otherwise adversely affect the property adjacent to the base of the wall. The recommendations herein assume a properly compacted granular (EI of 90 or less) free-draining backfill material with no hydrostatic forces or imposed surcharge load. The retaining wall should

be properly drained as shown in the Typical Retaining Wall Drainage Detail. If conditions different than those described are expected, or if specific drainage details are desired, Geocor Incorporated should be contacted for additional recommendations.



Typical Retaining Wall Drainage Detail

- 7.8.7 The retaining walls may be designed using either the active and restrained (at-rest) loading condition or the active and seismic loading condition as suggested by the structural engineer. Typically, it appears the design of the restrained condition for retaining wall loading may be adequate for the seismic design of the retaining walls. However, the active earth pressure combined with the seismic design load should be reviewed and also considered in the design of the retaining walls.
- 7.8.8 In general, wall foundations should be designed in accordance with Table 7.8.2. The proximity of the foundation to the top of a slope steeper than 3:1 could impact the allowable soil bearing pressure. Therefore, retaining wall foundations should be deepened such that the bottom outside edge of the footing is at least 7 feet horizontally from the face of the slope.

**TABLE 7.8.2
SUMMARY OF RETAINING WALL FOUNDATION RECOMMENDATIONS**

Parameter	Value
Minimum Retaining Wall Foundation Width	12 inches
Minimum Retaining Wall Foundation Depth	12 Inches
Minimum Steel Reinforcement	Per Structural Engineer
Allowable Bearing Capacity	2,000 psf
Estimated Total Settlement	1 Inch
Estimated Differential Settlement	½ Inch in 40 Feet

- 7.8.9 The recommendations presented herein are generally applicable to the design of rigid concrete or masonry retaining walls. In the event that other types of walls (such as mechanically

stabilized earth [MSE] walls, soil nail walls, or soldier pile walls) are planned, Geocon Incorporated should be consulted for additional recommendations.

- 7.8.10 It is common to see retaining walls constructed in the areas of the elevator pits. The retaining walls should be properly drained and designed in accordance with the recommendations presented herein. If the elevator pit walls are not drained, the walls should be designed with an increased active pressure with an equivalent fluid density of 90 pcf. It is also common to see seepage and water collection within the elevator pit. The pit should be designed and properly waterproofed to prevent seepage and water migration into the elevator pit.
- 7.8.11 Unrestrained walls will move laterally when backfilled and loading is applied. The amount of lateral deflection is dependent on the wall height, the type of soil used for backfill, and loads acting on the wall. The retaining walls and improvements above the retaining walls should be designed to incorporate an appropriate amount of lateral deflection as determined by the structural engineer.
- 7.8.12 Soil contemplated for use as retaining wall backfill, including import materials, should be identified in the field prior to backfill. At that time, Geocon Incorporated should obtain samples for laboratory testing to evaluate its suitability. Modified lateral earth pressures may be necessary if the backfill soil does not meet the required expansion index or shear strength. City or regional standard wall designs, if used, are based on a specific active lateral earth pressure and/or soil friction angle. In this regard, on-site soil to be used as backfill may or may not meet the values for standard wall designs. Geocon Incorporated should be consulted to assess the suitability of the on-site soil for use as wall backfill if standard wall designs will be used

7.9 Lateral Loading

- 7.9.1 Table 7.9 should be used to help design the proposed structures and improvements to resist lateral loads for the design of footings or shear keys. The allowable passive pressure assumes a horizontal surface extending at least 5 feet, or three times the surface generating the passive pressure, whichever is greater. The upper 12 inches of material in areas not protected by floor slabs or pavement should not be included in design for passive resistance.

**TABLE 7.9
SUMMARY OF LATERAL LOAD DESIGN RECOMMENDATIONS**

Parameter	Value
Passive Pressure Fluid Density	350 pcf
Coefficient of Friction (Concrete and Soil)	0.35
Coefficient of Friction (Along Vapor Barrier)	0.2 to 0.25*

*Per manufacturer's recommendations.

- 7.9.2 The passive and frictional resistant loads can be combined for design purposes. The lateral passive pressures may be increased by one-third when considering transient loads due to wind or seismic forces..

7.10 Slope Maintenance

- 7.10.1 Slopes that are steeper than 3:1 (horizontal:vertical) may, under conditions which are both difficult to prevent and predict, be susceptible to near surface (surficial) slope instability. The instability is typically limited to the outer three feet of a portion of the slope and usually does not directly impact the improvements on the pad areas above or below the slope. The occurrence of surficial instability is more prevalent on fill slopes and is generally preceded by a period of heavy rainfall, excessive irrigation, or the migration of subsurface seepage. The disturbance and/or loosening of the surficial soils, as might result from root growth, soil expansion, or excavation for irrigation lines and slope planting, may also be a significant contributing factor to surficial instability. It is, therefore, recommended that, to the maximum extent practical: (a) disturbed/loosened surficial soils be either removed or properly recompacted, (b) irrigation systems be periodically inspected and maintained to eliminate leaks and excessive irrigation, and (c) surface drains on and adjacent to slopes be periodically maintained to preclude ponding or erosion. It should be noted that although the incorporation of the above recommendations should reduce the potential for surficial slope instability, it will not eliminate the possibility, and, therefore, it may be necessary to rebuild or repair a portion of the project's slopes in the future.

7.11 Preliminary Pavement Recommendations

- 7.11.1 We calculated the flexible pavement sections in general conformance with the *Caltrans Method of Flexible Pavement Design* (Highway Design Manual, Section 608.4) using an estimated Traffic Index (TI) of 5.0, 5.5, 6.0 and 7.0 for parking stalls, driveways, medium truck traffic areas and heavy truck traffic areas, respectively. The project civil engineer and owner should review the pavement designations to determine appropriate locations for pavement thickness. The final pavement sections for the parking lot should be based on the R-Value of the subgrade soil encountered at final subgrade elevation. We assumed an R-Value of 10 and 78 for the subgrade soil and base materials, respectively, for the purposes of this preliminary analysis. Table 7.11.1 presents the preliminary flexible pavement sections.

**TABLE 7.11.1
PRELIMINARY FLEXIBLE PAVEMENT SECTION**

Location	Assumed Traffic Index	Assumed Subgrade R-Value	Asphalt Concrete (inches)	Class 2 Aggregate Base (inches)
Parking stalls for automobiles and light-duty vehicles	5.0	10	3	9
Driveways for automobiles and light-duty vehicles	5.5	10	3	11
Medium truck traffic areas	6.0	10	3.5	12
Driveways for heavy truck and fire-truck traffic	7.0	10	4	15

- 7.11.2 Prior to placing base materials, the upper 12 inches of the subgrade soil should be scarified, moisture conditioned as necessary, and recompactd to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content as determined by ASTM D 1557. Similarly, the base material should be compacted to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content. Asphalt concrete should be compacted to a density of at least 95 percent of the laboratory Hveem density in accordance with ASTM D 2726.
- 7.11.3 Base materials should conform to Section 26-1.02B of the *Standard Specifications for The State of California Department of Transportation (Caltrans)* with a ¾-inch maximum size aggregate. Asphalt concrete should conform to Section 203-6 of the *Standard Specifications for Public Works Construction (Greenbook)*.
- 7.11.4 The base thickness can be reduced if a reinforcement geogrid is used during the installation of the pavement. Geocon should be contact for additional recommendations if alternate design parameters are requested.
- 7.11.5 A rigid Portland cement concrete (PCC) pavement section should be placed in roadway aprons and cross gutters. We calculated the rigid pavement section in general conformance with the procedure recommended by the American Concrete Institute report ACI 330-21 *Commercial Concrete Parking Lots and Site Paving Design and Construction – Guide*. Table 7.11.2 provides the traffic categories and design parameters used for the calculations for 20-year design life.

**TABLE 7.11.2
TRAFFIC CATEGORIES**

Traffic Category	Description	Reliability (%)	Slabs Cracked at End of Design Life (%)
A	Car Parking Areas and Access Lanes	60	15
B	Entrance and Truck Service Lanes	60	15
E	Garbage or Fire Truck Lane	75	15

7.11.6 We used the parameters presented in Table 7.11.3 to calculate the pavement design sections. We should be contacted to provide updated design sections, if necessary.

**TABLE 7.11.3
RIGID PAVEMENT DESIGN PARAMETERS**

Design Parameter	Design Value
Modulus of subgrade reaction, k	50 pci
Modulus of rupture for concrete, M_R	500 psi
Concrete Compressive Strength	3,000 psi
Traffic Category, TC	A and C
Average daily truck traffic, ADTT	10 and 100

7.11.7 Based on the criteria presented herein, the PCC pavement sections should have a minimum thickness as presented in Table 7.11.4.

**TABLE 7.11.4
RIGID VEHICULAR PAVEMENT RECOMMENDATIONS**

Traffic Category	Trucks Per Day	Portland Cement Concrete, T (Inches)
A = Car Parking Areas and Access Lanes	10	6
B = Entrance and Truck Service Lanes	50	6½
E = Garbage or Fire Truck Lanes	5	7½

7.11.8 The PCC vehicular pavement should be placed over subgrade soil that is compacted to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content.

7.11.9 Adequate joint spacing should be incorporated into the design and construction of the rigid pavement in accordance with Table 7.11.5.

**TABLE 7.11.5
MAXIMUM JOINT SPACING**

Pavement Thickness, T (Inches)	Maximum Joint Spacing (Feet)
$4 < T < 5$	10
$5 \leq T < 6$	12.5
$6 \leq T$	15

7.11.10 The rigid pavement should also be designed and constructed incorporating the parameters presented in Table 7.11.6.

**TABLE 7.11.6
ADDITIONAL RIGID PAVEMENT RECOMMENDATIONS**

Subject	Value
Thickened Edge	1.2 Times Slab Thickness Adjacent to Structures
	1.5 Times Slab Thickness Adjacent to Soil
	Minimum Increase of 2 Inches
	4 Feet Wide
Crack Control Joint Depth	Early Entry Sawn = $T/6$ to $T/5$, 1.25 Inch Minimum
	Conventional (Tooled or Conventional Sawing) = $T/4$ to $T/3$
Crack Control Joint Width	$1/4$ -Inch for Sealed Joints and Per Sealer Manufacturer's Recommendations
	$1/16$ - to $1/4$ -Inch is Common for Unsealed Joints

7.11.11 Reinforcing steel will not be necessary within the concrete for geotechnical purposes with the possible exception of dowels at construction joints as discussed herein.

7.11.12 To control the location and spread of concrete shrinkage cracks, crack-control joints (weakened plane joints) should be included in the design of the concrete pavement slab. Crack-control joints should be sealed with an appropriate sealant to prevent the migration of water through the control joint to the subgrade materials. The depth of the crack-control joints should be determined by the referenced ACI report.

- 7.11.13 To provide load transfer between adjacent pavement slab sections, a butt-type construction joint should be constructed. The butt-type joint should be thickened by at least 20 percent at the edge and taper back at least 4 feet from the face of the slab.
- 7.11.14 Concrete curb/gutter should be placed on soil subgrade compacted to a dry density of at least 90 percent of the laboratory maximum dry density near to slightly above optimum moisture content. Cross-gutters that receives vehicular should be placed on subgrade soil compacted to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content. Base materials should not be placed below the curb/gutter, or cross-gutters so water is not able to migrate from the adjacent parkways to the pavement sections. Where flatwork is located directly adjacent to the curb/gutter, the concrete flatwork should be structurally connected to the curbs to help reduce the potential for offsets between the curbs and the flatwork.

7.12 Preliminary Paver Recommendations

- 7.12.1 We calculated the paver section in general conformance with the *Caltrans Method of Flexible Pavement Design* (Highway Design Manual, Section 608.4) using an estimated Traffic Index (TI) of 5.0, 5.5, 6.0 and 7.0 for parking stalls, driveways, medium truck traffic areas, and heavy truck traffic areas, respectively. Based on the Interlocking Concrete Pavement Institute (ICPI), the pavers should possess a minimum thickness of 3 $\frac{1}{8}$ inches overlying 1 to 1 $\frac{1}{2}$ inch of sand. We used an equivalent asphalt concrete section equal to the thickness of the pavers of approximately 3 inches in accordance with Interlocking Concrete Pavement Institute (ICPI) *Tech Spec Number 4*. In addition, the pavers should be installed in a pattern appropriate for vehicular traffic. Table 7.12.1 presents two options for the paver underlayment: compacted base materials or aggregate.

**TABLE 7.12.1
PERMEABLE PAVER PAVEMENT SECTION**

Location	Traffic Index (TI)	Assumed Subgrade R-Value	Equivalent Paver Asphalt Concrete Thickness (inches)	Option 1		Option 2
				Estimated Sand Thickness (inches)	Base Materials (inches)	ASTM C 33 Aggregate
Parking stalls for automobiles and light-duty vehicles	5.0	10	3	1 -1½	9	3" Sand / 3" #8 / 7" #57
Driveways for automobiles and light-duty vehicles	5.5	10	3	1 -1½	11	3" Sand / 3" #8 / 9" #57
Medium truck traffic areas	6.0	10	3	1 -1½	13	3" Sand / 3" #8 / 11" #57
Driveways for heavy truck traffic	7.0	10	3	1 -1½	17	3" Sand / 3" #8 / 15" #57

7.12.2 Class 2 base, crushed aggregate base, or rigid pavement (with thicknesses described herein) can be used below the non-storm water quality decorative pavers. As an alternative, concrete with the thickness at locations described herein can be placed beneath the non-storm water decorative pavers.

7.12.3 The aggregate presented in Option 2 should be in conformance with ASTM C33 as shown in Table 7.12.2.

**TABLE 7.12.2
AGGREGATE GRADATION LIMITS PER ASTM C33**

Sieve Size	Percent Passing Sieves		
	Choker Sand	No. 8	No. 57
1.5 Inches	--	--	100
1 Inch	--	--	95-100
0.5 Inch	--	100	25-60
0.375 Inch	100	85-100	--
No. 4	95-100	10-30	0-10
No. 8	80-100	0-10	0-5
No. 16	50-85	0-5	--
No. 30	25-60	--	--
No. 50	5-30	--	--
No. 100	0-10	--	--
No. 200	0-3	--	--

- 7.12.4 Prior to placing base/aggregate materials, the subgrade soil should be scarified, moisture conditioned as necessary, and recompact to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content as determined by ASTM D 1557. The depth of compaction should be at least 12 inches. Similarly, the base materials should be compacted to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content.
- 7.12.5 The pavers should be installed and maintained in accordance with the manufacturer's recommendations. The future owners should be made aware and responsible for the maintenance program. In addition, pavers tend to shift vertically and horizontally during the life of the pavement and should be expected. The pavers should be placed tightly adjacent to each other and the spacing between the paver units should be filled with appropriate filler. A polymer sand (Poly-Sand) can be used on the decorative, non-storm water quality paver area to help prevent water infiltration.
- 7.12.6 The pavers normally require a concrete border to prevent lateral movement from traffic. The concrete border surrounding the pavers should be embedded at least 6 inches into the subgrade to reduce the potential for water migration to the adjacent landscape areas and pavement areas. The side liners are not necessary if the concrete borders are installed as discussed herein.

7.12.7 The performance of pavement is highly dependent on providing positive surface drainage away from the edge of the pavement. Ponding of water on or adjacent to the pavement will likely result in pavement distress and subgrade failure. Drainage from landscaped areas should be directed to controlled drainage structures. Landscape areas adjacent to the edge of pavements are not recommended due to the potential for surface or irrigation water to infiltrate the underlying permeable aggregate base and cause distress. Where such a condition cannot be avoided, consideration should be given to incorporating measures that will significantly reduce the potential for subsurface water migration into the aggregate base. If planter islands are planned, the perimeter curb should extend at least 6 inches below the level of the base materials.

7.13 Site Drainage and Moisture Protection

7.13.1 Adequate site drainage is critical to reduce the potential for differential soil movement, erosion and subsurface seepage. Under no circumstances should water be allowed to pond adjacent to footings. The site should be graded and maintained such that surface drainage is directed away from structures in accordance with 2022 CBC 1804.4 or other applicable standards. In addition, surface drainage should be directed away from the top of slopes into swales or other controlled drainage devices. Roof and pavement drainage should be directed into conduits that carry runoff away from the proposed structure.

7.13.2 In the case of basement walls or building walls retaining landscaping areas, a water-proofing system should be used on the wall and joints, and a Miradrain drainage panel (or similar) should be placed over the waterproofing. The project architect or civil engineer should provide detailed specifications on the plans for all waterproofing and drainage.

7.13.3 Underground utilities should be leak free. Utility and irrigation lines should be checked periodically for leaks and detected leaks should be repaired promptly. Detrimental soil movement could occur if water is allowed to infiltrate the soil for prolonged periods of time.

7.13.4 Landscaping planters adjacent to paved areas are not recommended due to the potential for surface or irrigation water to infiltrate the pavement's subgrade and base course. Area drains to collect excess irrigation water and transmit it to drainage structures or impervious above-grade planter boxes can be used. In addition, where landscaping is planned adjacent to the pavement, construction of a cutoff wall along the edge of the pavement that extends at least 6 inches below the bottom of the base material should be considered.

7.14 Grading and Foundation Plan Review

7.14.1 We should review the precise grading and foundation plans to check that the plans have been prepared in substantial conformance with the recommendations of this report.

7.15 Testing and Observation Services During Construction

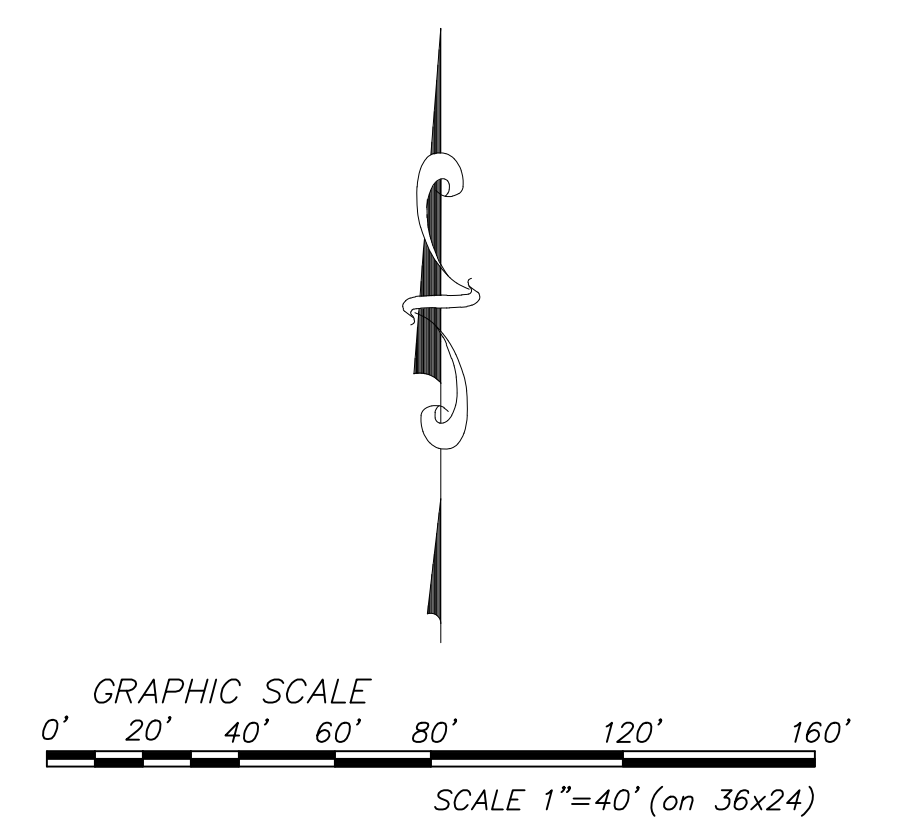
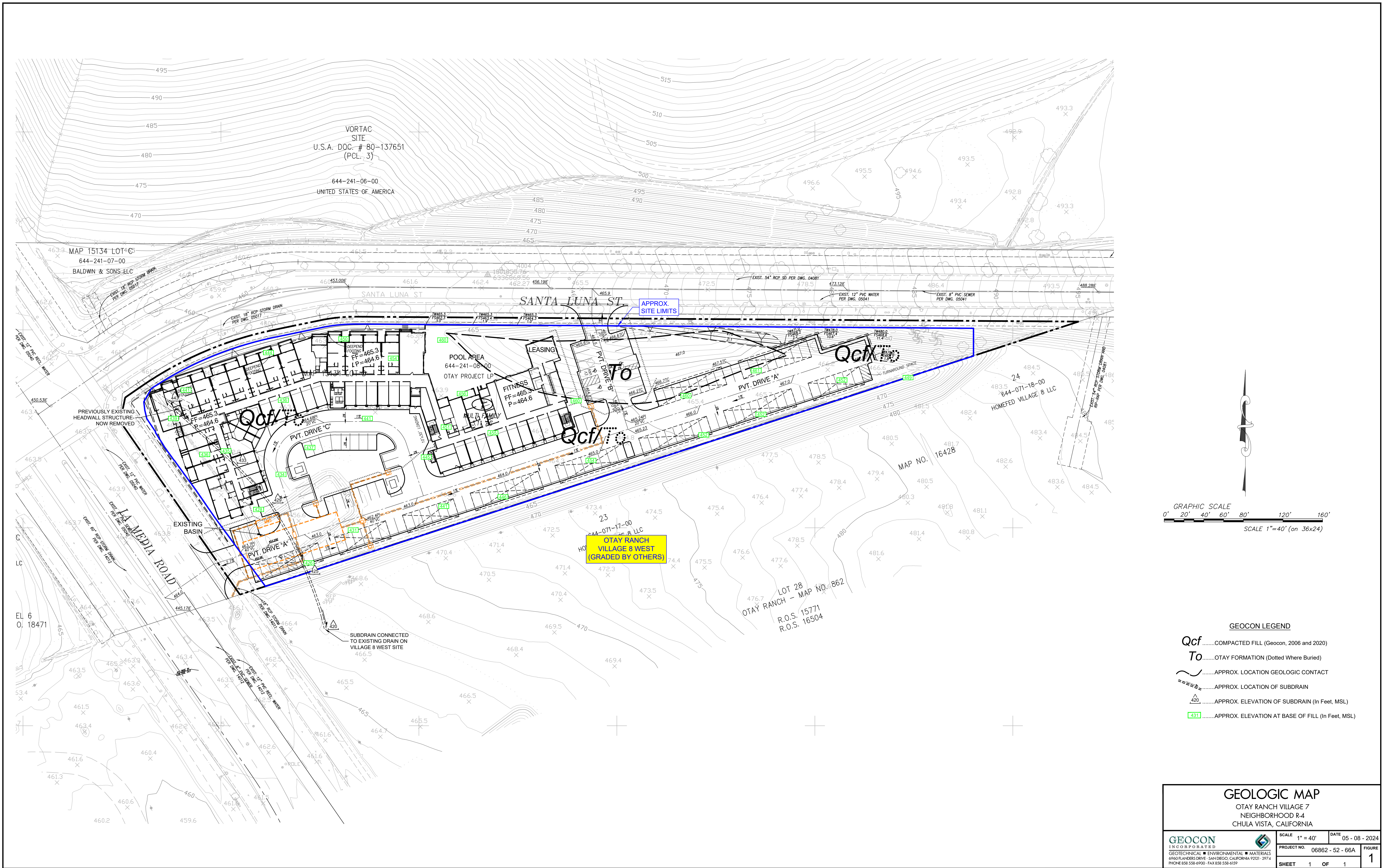
7.15.1 Geocon Incorporated should provide geotechnical testing and observation services during the grading operations, foundation construction, utility installation, retaining wall backfill and pavement installation. Table 7.15 presents the typical geotechnical observations we would expect for the proposed improvements.

**TABLE 7.15
EXPECTED GEOTECHNICAL TESTING AND OBSERVATION SERVICES**

Construction Phase	Observations	Expected Time Frame
Grading	Base of Removal	Part Time
	Geologic Logging	Part Time to Full Time
	Fill Placement and Soil Compaction	Full Time
Foundations	Foundation Excavation Observations	Part Time to Full Time
Utility Backfill	Fill Placement and Soil Compaction	Part Time to Full Time
Retaining Wall Backfill	Fill Placement and Soil Compaction	Part Time to Full Time
Subgrade for Sidewalks, Curb/Gutter and Pavement	Soil Compaction	Part Time
Pavement Construction	Base Placement and Compaction	Part Time
	Asphalt Concrete Placement and Compaction	Full Time

LIMITATIONS AND UNIFORMITY OF CONDITIONS

1. The recommendations of this report pertain only to the site investigated and are based upon the assumption that the soil conditions do not deviate from those disclosed in the investigation. If any variations or undesirable conditions are encountered during construction, or if the proposed construction will differ from that anticipated herein, Geocon Incorporated should be notified so that supplemental recommendations can be given. The evaluation or identification of the potential presence of hazardous or corrosive materials was not part of the scope of services provided by Geocon Incorporated.
2. This report is issued with the understanding that it is the responsibility of the owner, or of his representative, to ensure that the information and recommendations contained herein are brought to the attention of the architect and engineer for the project and incorporated into the plans, and the necessary steps are taken to see that the contractor and subcontractors carry out such recommendations in the field.
3. The findings of this report are valid as of the present date. However, changes in the conditions of a property can occur with the passage of time, whether they be due to natural processes or the works of man on this or adjacent properties. In addition, changes in applicable or appropriate standards may occur, whether they result from legislation or the broadening of knowledge. Accordingly, the findings of this report may be invalidated wholly or partially by changes outside our control. Therefore, this report is subject to review and should not be relied upon after a period of three years.
4. The firm that performed the geotechnical investigation for the project should be retained to provide testing and observation services during construction to provide continuity of geotechnical interpretation and to check that the recommendations presented for geotechnical aspects of site development are incorporated during site grading, construction of improvements, and excavation of foundations. If another geotechnical firm is selected to perform the testing and observation services during construction operations, that firm should prepare a letter indicating their intent to assume the responsibilities of project geotechnical engineer of record. A copy of the letter should be provided to the regulatory agency for their records. In addition, that firm should provide revised recommendations concerning the geotechnical aspects of the proposed development, or a written acknowledgement of their concurrence with the recommendations presented in our report. They should also perform additional analyses deemed necessary to assume the role of Geotechnical Engineer of Record.



GEOCON LEGEND

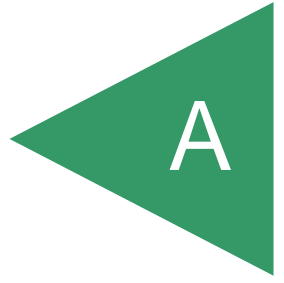
- Qcf**COMPACTED FILL (Geocon, 2006 and 2020)
- To**OTAY FORMATION (Dotted Where Buried)
-APPROX. LOCATION GEOLOGIC CONTACT
-APPROX. LOCATION OF SUBDRAIN
-APPROX. ELEVATION OF SUBDRAIN (In Feet, MSL)
-APPROX. ELEVATION AT BASE OF FILL (In Feet, MSL)

GEOLOGIC MAP			
OTAY RANCH VILLAGE 7 NEIGHBORHOOD R-4 CHULA VISTA, CALIFORNIA			
GEOCON <small>INCORPORATED</small> GEOTECHNICAL ■ ENVIRONMENTAL ■ MATERIALS 6940 ANDERS DRIVE ■ SAN DIEGO, CALIFORNIA 92121-2974 PHONE 858.558.4900 ■ FAX 858.558.4159	SCALE 1" = 40'	DATE 05 - 08 - 2024	FIGURE 1
	PROJECT NO. 06862 - 52 - 66A		
	SHEET 1 OF 1		

Printed 05/08/2024 8:58AM | By RUBEN AGUILAR | File Location: Y:\PROJECTS\06862-52-66A\OTAY V7 - NEIGHBORHOOD R-4\GHEETS\06862-52-66A_Geo Map.dwg

APPENDIX

A



APPENDIX A

PREVIOUS LABORATORY TESTING

We tested laboratory samples of material used for fill during grading to evaluate moisture-density relationships, optimum moisture content and maximum dry density (ASTM D 1557) and performed laboratory tests on materials within the upper 3 to 4 feet of the existing sheet graded pad subsequent to the completion of grading operations in 2020. We performed laboratory testing in general accordance with the test methods of the American Society for Testing and Materials (ASTM) or other suggested procedures. We tested samples to evaluate expansion characteristics and water-soluble sulfate content. The results of our laboratory tests are presented in tables herein.

SUMMARY OF LABORATORY MAXIMUM DRY DENSITY AND OPTIMUM MOISTURE CONTENT TEST RESULTS ASTM D 1557

Sample No.	Description	Maximum Dry Density (pcf)	Optimum Moisture Content (% dry wt.)
1	Gray, fine to coarse, Sandy SILT	117.3	14.1
2	Light grayish brown, Clayey SAND; trace gravel	122.3	11.5
3	Light olive gray, Clayey SAND; trace silt	116.1	14.1

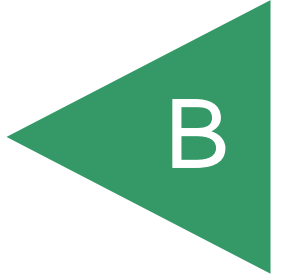
SUMMARY OF LABORATORY EXPANSION INDEX TEST RESULTS ASTM D 4829

Sample No. (Location)	Moisture Content (%)		Dry Density (pcf)	Expansion Index	2022 CBC Classification	ASTM Soil Expansion Classification
	Before Test	After Test				
EI-1 (West)	14.9	30.2	94.1	65	Expansive	Medium
EI-2 (East)	14.9	31.2	93.8	69	Expansive	Medium

SUMMARY OF LABORATORY WATER-SOLUBLE SULFATE TEST RESULTS CALIFORNIA TEST NO. 417

Sample No.	Water-Soluble Sulfate (%)	Sulfate Severity Exposure
EI-1 (West)	0.023	S0
EI-2 (East)	0.030	S0

APPENDIX



APPENDIX B

RECOMMENDED GRADING SPECIFICATIONS

FOR

OTAY RANCH VILLAGE 7
NEIGHBORHOOD R-4
CHULA VISTA, CALIFORNIA

PROJECT NO. 06862-52-66A

RECOMMENDED GRADING SPECIFICATIONS

1. GENERAL

- 1.1 These Recommended Grading Specifications shall be used in conjunction with the Geotechnical Report for the project prepared by Geocon. The recommendations contained in the text of the Geotechnical Report are a part of the earthwork and grading specifications and shall supersede the provisions contained hereinafter in the case of conflict.
- 1.2 Prior to the commencement of grading, a geotechnical consultant (Consultant) shall be employed for the purpose of observing earthwork procedures and testing the fills for substantial conformance with the recommendations of the Geotechnical Report and these specifications. The Consultant should provide adequate testing and observation services so that they may assess whether, in their opinion, the work was performed in substantial conformance with these specifications. It shall be the responsibility of the Contractor to assist the Consultant and keep them apprised of work schedules and changes so that personnel may be scheduled accordingly.
- 1.3 It shall be the sole responsibility of the Contractor to provide adequate equipment and methods to accomplish the work in accordance with applicable grading codes or agency ordinances, these specifications and the approved grading plans. If, in the opinion of the Consultant, unsatisfactory conditions such as questionable soil materials, poor moisture condition, inadequate compaction, and/or adverse weather result in a quality of work not in conformance with these specifications, the Consultant will be empowered to reject the work and recommend to the Owner that grading be stopped until the unacceptable conditions are corrected.

2. DEFINITIONS

- 2.1 **Owner** shall refer to the owner of the property or the entity on whose behalf the grading work is being performed and who has contracted with the Contractor to have grading performed.
- 2.2 **Contractor** shall refer to the Contractor performing the site grading work.
- 2.3 **Civil Engineer** or **Engineer of Work** shall refer to the California licensed Civil Engineer or consulting firm responsible for preparation of the grading plans, surveying and verifying as-graded topography.
- 2.4 **Consultant** shall refer to the soil engineering and engineering geology consulting firm retained to provide geotechnical services for the project.

- 2.5 **Soil Engineer** shall refer to a California licensed Civil Engineer retained by the Owner, who is experienced in the practice of geotechnical engineering. The Soil Engineer shall be responsible for having qualified representatives on-site to observe and test the Contractor's work for conformance with these specifications.
- 2.6 **Engineering Geologist** shall refer to a California licensed Engineering Geologist retained by the Owner to provide geologic observations and recommendations during the site grading.
- 2.7 **Geotechnical Report** shall refer to a soil report (including all addenda) which may include a geologic reconnaissance or geologic investigation that was prepared specifically for the development of the project for which these Recommended Grading Specifications are intended to apply.

3. MATERIALS

- 3.1 Materials for compacted fill shall consist of any soil excavated from the cut areas or imported to the site that, in the opinion of the Consultant, is suitable for use in construction of fills. In general, fill materials can be classified as *soil* fills, *soil-rock* fills or *rock* fills, as defined below.
- 3.1.1 **Soil fills** are defined as fills containing no rocks or hard lumps greater than 12 inches in maximum dimension and containing at least 40 percent by weight of material smaller than $\frac{3}{4}$ inch in size.
- 3.1.2 **Soil-rock fills** are defined as fills containing no rocks or hard lumps larger than 4 feet in maximum dimension and containing a sufficient matrix of soil fill to allow for proper compaction of soil fill around the rock fragments or hard lumps as specified in Paragraph 6.2. **Oversize rock** is defined as material greater than 12 inches.
- 3.1.3 **Rock fills** are defined as fills containing no rocks or hard lumps larger than 3 feet in maximum dimension and containing little or no fines. Fines are defined as material smaller than $\frac{3}{4}$ inch in maximum dimension. The quantity of fines shall be less than approximately 20 percent of the rock fill quantity.
- 3.2 Material of a perishable, spongy, or otherwise unsuitable nature as determined by the Consultant shall not be used in fills.
- 3.3 Materials used for fill, either imported or on-site, shall not contain hazardous materials as defined by the California Code of Regulations, Title 22, Division 4, Chapter 30, Articles 9

and 10; 40CFR; and any other applicable local, state or federal laws. The Consultant shall not be responsible for the identification or analysis of the potential presence of hazardous materials. However, if observations, odors or soil discoloration cause Consultant to suspect the presence of hazardous materials, the Consultant may request from the Owner the termination of grading operations within the affected area. Prior to resuming grading operations, the Owner shall provide a written report to the Consultant indicating that the suspected materials are not hazardous as defined by applicable laws and regulations.

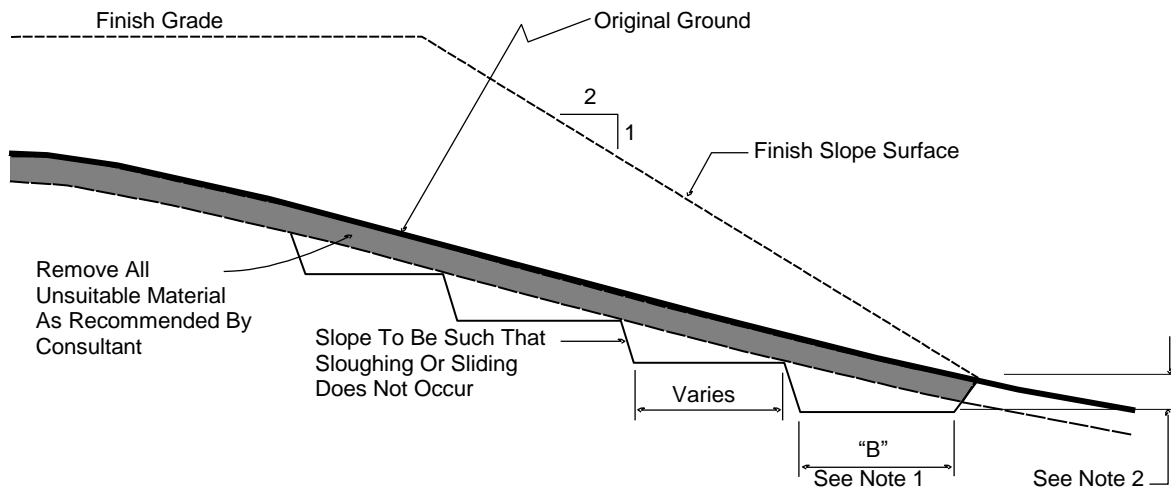
- 3.4 The outer 15 feet of *soil-rock* fill slopes, measured horizontally, should be composed of properly compacted *soil* fill materials approved by the Consultant. *Rock* fill may extend to the slope face, provided that the slope is not steeper than 2:1 (horizontal:vertical) and a soil layer no thicker than 12 inches is track-walked onto the face for landscaping purposes. This procedure may be utilized provided it is acceptable to the governing agency, Owner and Consultant.
- 3.5 Samples of soil materials to be used for fill should be tested in the laboratory by the Consultant to determine the maximum density, optimum moisture content, and, where appropriate, shear strength, expansion, and gradation characteristics of the soil.
- 3.6 During grading, soil or groundwater conditions other than those identified in the Geotechnical Report may be encountered by the Contractor. The Consultant shall be notified immediately to evaluate the significance of the unanticipated condition.

4. CLEARING AND PREPARING AREAS TO BE FILLED

- 4.1 Areas to be excavated and filled shall be cleared and grubbed. Clearing shall consist of complete removal above the ground surface of trees, stumps, brush, vegetation, man-made structures, and similar debris. Grubbing shall consist of removal of stumps, roots, buried logs and other unsuitable material and shall be performed in areas to be graded. Roots and other projections exceeding 1½ inches in diameter shall be removed to a depth of 3 feet below the surface of the ground. Borrow areas shall be grubbed to the extent necessary to provide suitable fill materials.
- 4.2 Asphalt pavement material removed during clearing operations should be properly disposed at an approved off-site facility or in an acceptable area of the project evaluated by Geocon and the property owner. Concrete fragments that are free of reinforcing steel may be placed in fills, provided they are placed in accordance with Section 6.2 or 6.3 of this document.

- 4.3 After clearing and grubbing of organic matter and other unsuitable material, loose or porous soils shall be removed to the depth recommended in the Geotechnical Report. The depth of removal and compaction should be observed and approved by a representative of the Consultant. The exposed surface shall then be plowed or scarified to a minimum depth of 6 inches and until the surface is free from uneven features that would tend to prevent uniform compaction by the equipment to be used.
- 4.4 Where the slope ratio of the original ground is steeper than 5:1 (horizontal:vertical), or where recommended by the Consultant, the original ground should be benched in accordance with the following illustration.

TYPICAL BENCHING DETAIL



No Scale

- DETAIL NOTES:
- (1) Key width "B" should be a minimum of 10 feet, or sufficiently wide to permit complete coverage with the compaction equipment used. The base of the key should be graded horizontal, or inclined slightly into the natural slope.
 - (2) The outside of the key should be below the topsoil or unsuitable surficial material and at least 2 feet into dense formational material. Where hard rock is exposed in the bottom of the key, the depth and configuration of the key may be modified as approved by the Consultant.

- 4.5 After areas to receive fill have been cleared and scarified, the surface should be moisture conditioned to achieve the proper moisture content, and compacted as recommended in Section 6 of these specifications.

5. COMPACTION EQUIPMENT

- 5.1 Compaction of *soil* or *soil-rock* fill shall be accomplished by sheepsfoot or segmented-steel wheeled rollers, vibratory rollers, multiple-wheel pneumatic-tired rollers, or other types of acceptable compaction equipment. Equipment shall be of such a design that it will be capable of compacting the *soil* or *soil-rock* fill to the specified relative compaction at the specified moisture content.
- 5.2 Compaction of *rock* fills shall be performed in accordance with Section 6.3.

6. PLACING, SPREADING AND COMPACTION OF FILL MATERIAL

- 6.1 *Soil* fill, as defined in Paragraph 3.1.1, shall be placed by the Contractor in accordance with the following recommendations:
- 6.1.1 *Soil* fill shall be placed by the Contractor in layers that, when compacted, should generally not exceed 8 inches. Each layer shall be spread evenly and shall be thoroughly mixed during spreading to obtain uniformity of material and moisture in each layer. The entire fill shall be constructed as a unit in nearly level lifts. Rock materials greater than 12 inches in maximum dimension shall be placed in accordance with Section 6.2 or 6.3 of these specifications.
- 6.1.2 In general, the *soil* fill shall be compacted at a moisture content at or above the optimum moisture content as determined by ASTM D 1557.
- 6.1.3 When the moisture content of *soil* fill is below that specified by the Consultant, water shall be added by the Contractor until the moisture content is in the range specified.
- 6.1.4 When the moisture content of the *soil* fill is above the range specified by the Consultant or too wet to achieve proper compaction, the *soil* fill shall be aerated by the Contractor by blading/mixing, or other satisfactory methods until the moisture content is within the range specified.
- 6.1.5 After each layer has been placed, mixed, and spread evenly, it shall be thoroughly compacted by the Contractor to a relative compaction of at least 90 percent. Relative compaction is defined as the ratio (expressed in percent) of the in-place dry density of the compacted fill to the maximum laboratory dry density as determined in accordance with ASTM D 1557. Compaction shall be continuous over the entire area, and compaction equipment shall make sufficient passes so that the specified minimum relative compaction has been achieved throughout the entire fill.

- 6.1.6 Where practical, soils having an Expansion Index greater than 50 should be placed at least 3 feet below finish pad grade and should be compacted at a moisture content generally 2 to 4 percent greater than the optimum moisture content for the material.
 - 6.1.7 Properly compacted *soil* fill shall extend to the design surface of fill slopes. To achieve proper compaction, it is recommended that fill slopes be over-built by at least 3 feet and then cut to the design grade. This procedure is considered preferable to track-walking of slopes, as described in the following paragraph.
 - 6.1.8 As an alternative to over-building of slopes, slope faces may be back-rolled with a heavy-duty loaded sheepsfoot or vibratory roller at maximum 4-foot fill height intervals. Upon completion, slopes should then be track-walked with a D-8 dozer or similar equipment, such that a dozer track covers all slope surfaces at least twice.
- 6.2 *Soil-rock* fill, as defined in Paragraph 3.1.2, shall be placed by the Contractor in accordance with the following recommendations:
- 6.2.1 Rocks larger than 12 inches but less than 4 feet in maximum dimension may be incorporated into the compacted *soil* fill, but shall be limited to the area measured 15 feet minimum horizontally from the slope face and 5 feet below finish grade or 3 feet below the deepest utility, whichever is deeper.
 - 6.2.2 Rocks or rock fragments up to 4 feet in maximum dimension may either be individually placed or placed in windrows. Under certain conditions, rocks or rock fragments up to 10 feet in maximum dimension may be placed using similar methods. The acceptability of placing rock materials greater than 4 feet in maximum dimension shall be evaluated during grading as specific cases arise and shall be approved by the Consultant prior to placement.
 - 6.2.3 For individual placement, sufficient space shall be provided between rocks to allow for passage of compaction equipment.
 - 6.2.4 For windrow placement, the rocks should be placed in trenches excavated in properly compacted *soil* fill. Trenches should be approximately 5 feet wide and 4 feet deep in maximum dimension. The voids around and beneath rocks should be filled with approved granular soil having a Sand Equivalent of 30 or greater and should be compacted by flooding. Windrows may also be placed utilizing an "open-face" method in lieu of the trench procedure, however, this method should first be approved by the Consultant.

- 6.2.5 Windrows should generally be parallel to each other and may be placed either parallel to or perpendicular to the face of the slope depending on the site geometry. The minimum horizontal spacing for windrows shall be 12 feet center-to-center with a 5-foot stagger or offset from lower courses to next overlying course. The minimum vertical spacing between windrow courses shall be 2 feet from the top of a lower windrow to the bottom of the next higher windrow.
- 6.2.6 Rock placement, fill placement and flooding of approved granular soil in the windrows should be continuously observed by the Consultant.
- 6.3 *Rock* fills, as defined in Section 3.1.3, shall be placed by the Contractor in accordance with the following recommendations:
- 6.3.1 The base of the *rock* fill shall be placed on a sloping surface (minimum slope of 2 percent). The surface shall slope toward suitable subdrainage outlet facilities. The *rock* fills shall be provided with subdrains during construction so that a hydrostatic pressure buildup does not develop. The subdrains shall be permanently connected to controlled drainage facilities to control post-construction infiltration of water.
- 6.3.2 *Rock* fills shall be placed in lifts not exceeding 3 feet. Placement shall be by rock trucks traversing previously placed lifts and dumping at the edge of the currently placed lift. Spreading of the *rock* fill shall be by dozer to facilitate *seating* of the rock. The *rock* fill shall be watered heavily during placement. Watering shall consist of water trucks traversing in front of the current rock lift face and spraying water continuously during rock placement. Compaction equipment with compactive energy comparable to or greater than that of a 20-ton steel vibratory roller or other compaction equipment providing suitable energy to achieve the required compaction or deflection as recommended in Paragraph 6.3.3 shall be utilized. The number of passes to be made should be determined as described in Paragraph 6.3.3. Once a *rock* fill lift has been covered with *soil* fill, no additional *rock* fill lifts will be permitted over the *soil* fill.
- 6.3.3 Plate bearing tests, in accordance with ASTM D 1196, may be performed in both the compacted *soil* fill and in the *rock* fill to aid in determining the required minimum number of passes of the compaction equipment. If performed, a minimum of three plate bearing tests should be performed in the properly compacted *soil* fill (minimum relative compaction of 90 percent). Plate bearing tests shall then be performed on areas of *rock* fill having two passes, four passes and six passes of the compaction equipment, respectively. The number of passes required for the *rock* fill shall be determined by comparing the results of the plate bearing tests for the *soil* fill and the *rock* fill and by evaluating the deflection

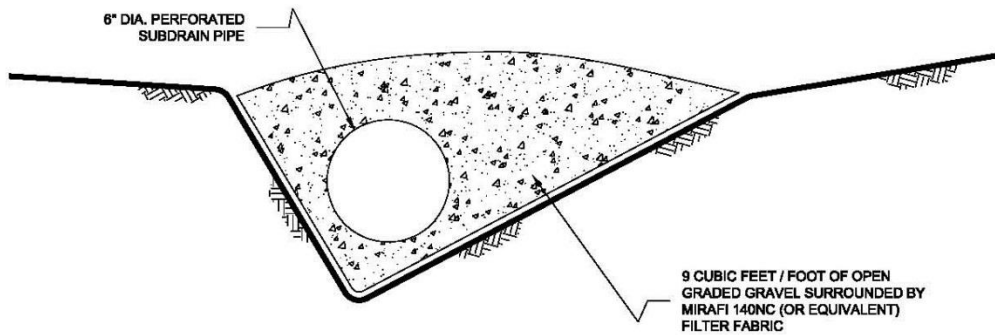
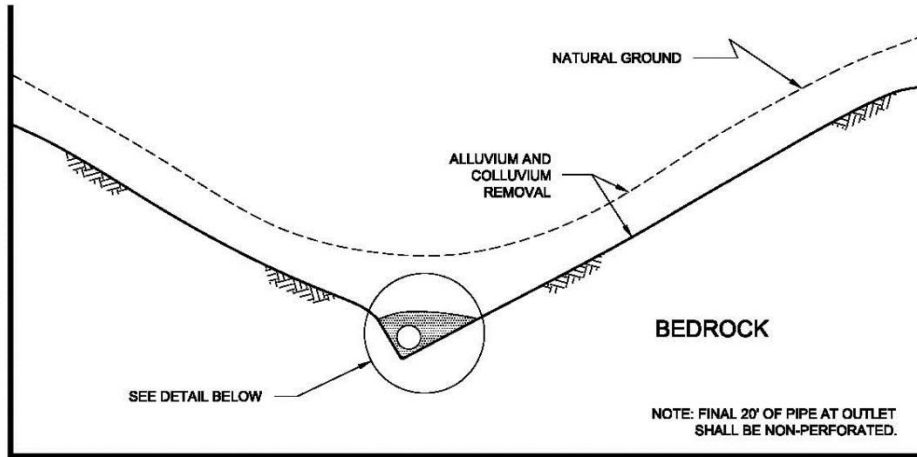
variation with number of passes. The required number of passes of the compaction equipment will be performed as necessary until the plate bearing deflections are equal to or less than that determined for the properly compacted *soil* fill. In no case will the required number of passes be less than two.

- 6.3.4 A representative of the Consultant should be present during *rock* fill operations to observe that the minimum number of “passes” have been obtained, that water is being properly applied and that specified procedures are being followed. The actual number of plate bearing tests will be determined by the Consultant during grading.
- 6.3.5 Test pits shall be excavated by the Contractor so that the Consultant can state that, in their opinion, sufficient water is present and that voids between large rocks are properly filled with smaller rock material. In-place density testing will not be required in the *rock* fills.
- 6.3.6 To reduce the potential for “piping” of fines into the *rock* fill from overlying *soil* fill material, a 2-foot layer of graded filter material shall be placed above the uppermost lift of *rock* fill. The need to place graded filter material below the *rock* should be determined by the Consultant prior to commencing grading. The gradation of the graded filter material will be determined at the time the *rock* fill is being excavated. Materials typical of the *rock* fill should be submitted to the Consultant in a timely manner, to allow design of the graded filter prior to the commencement of *rock* fill placement.
- 6.3.7 *Rock* fill placement should be continuously observed during placement by the Consultant.

7. SUBDRAINS

- 7.1 The geologic units on the site may have permeability characteristics and/or fracture systems that could be susceptible under certain conditions to seepage. The use of canyon subdrains may be necessary to mitigate the potential for adverse impacts associated with seepage conditions. Canyon subdrains with lengths in excess of 500 feet or extensions of existing offsite subdrains should use 8-inch-diameter pipes. Canyon subdrains less than 500 feet in length should use 6-inch-diameter pipes.

TYPICAL CANYON DRAIN DETAIL



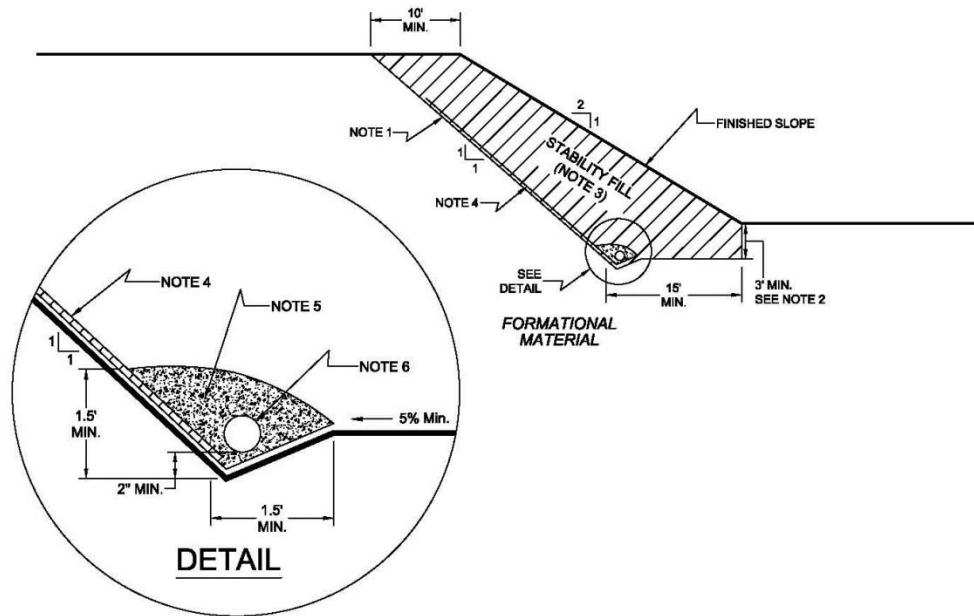
NOTES:

- 1.....8-INCH DIAMETER, SCHEDULE 80 PVC PERFORATED PIPE FOR FILLS IN EXCESS OF 100-FEET IN DEPTH OR A PIPE LENGTH OF LONGER THAN 500 FEET.
- 2.....6-INCH DIAMETER, SCHEDULE 40 PVC PERFORATED PIPE FOR FILLS LESS THAN 100-FEET IN DEPTH OR A PIPE LENGTH SHORTER THAN 500 FEET.

NO SCALE

7.2 Slope drains within stability fill keyways should use 4-inch-diameter (or larger) pipes.

TYPICAL STABILITY FILL DETAIL



NOTES:

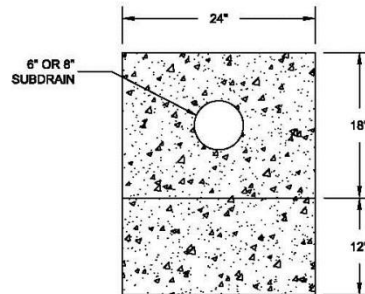
- 1.....EXCAVATE BACKCUT AT 1:1 INCLINATION (UNLESS OTHERWISE NOTED).
- 2.....BASE OF STABILITY FILL TO BE 3 FEET INTO FORMATIONAL MATERIAL, SLOPING A MINIMUM 5% INTO SLOPE.
- 3.....STABILITY FILL TO BE COMPOSED OF PROPERLY COMPACTED GRANULAR SOIL.
- 4.....CHIMNEY DRAINS TO BE APPROVED PREFABRICATED CHIMNEY DRAIN PANELS (MIRADRAIN G200N OR EQUIVALENT) SPACED APPROXIMATELY 20 FEET CENTER TO CENTER AND 4 FEET WIDE. CLOSER SPACING MAY BE REQUIRED IF SEEPAGE IS ENCOUNTERED.
- 5.....FILTER MATERIAL TO BE 3/4-INCH, OPEN-GRADED CRUSHED ROCK ENCLOSED IN APPROVED FILTER FABRIC (MIRAFI 140NC).
- 6.....COLLECTOR PIPE TO BE 4-INCH MINIMUM DIAMETER, PERFORATED, THICK-WALLED PVC SCHEDULE 40 OR EQUIVALENT, AND SLOPED TO DRAIN AT 1 PERCENT MINIMUM TO APPROVED OUTLET.

NO SCALE

- 7.3 The actual subdrain locations will be evaluated in the field during the remedial grading operations. Additional drains may be necessary depending on the conditions observed and the requirements of the local regulatory agencies. Appropriate subdrain outlets should be evaluated prior to finalizing 40-scale grading plans.
- 7.4 *Rock fill or soil-rock fill* areas may require subdrains along their down-slope perimeters to mitigate the potential for buildup of water from construction or landscape irrigation. The subdrains should be at least 6-inch-diameter pipes encapsulated in gravel and filter fabric. *Rock fill* drains should be constructed using the same requirements as canyon subdrains.

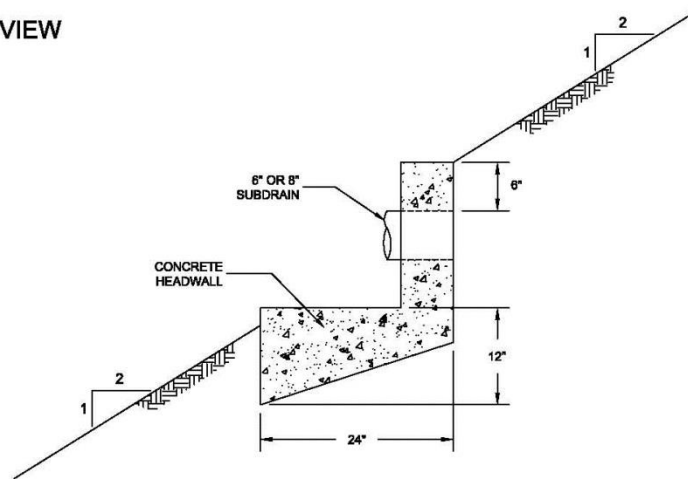
TYPICAL HEADWALL DETAIL

FRONT VIEW



NO SCALE

SIDE VIEW



NOTE: HEADWALL SHOULD OUTLET AT TOE OF FILL SLOPE
OR INTO CONTROLLED SURFACE DRAINAGE

NO SCALE

- 7.7 The final grading plans should show the location of the proposed subdrains. After completion of remedial excavations and subdrain installation, the project civil engineer should survey the drain locations and prepare an “as-built” map showing the drain locations. The final outlet and connection locations should be determined during grading operations. Subdrains that will be extended on adjacent projects after grading can be placed on formational material and a vertical riser should be placed at the end of the subdrain. The grading contractor should consider videoing the subdrains shortly after burial to check proper installation and functionality. The contractor is responsible for the performance of the drains.

8. OBSERVATION AND TESTING

- 8.1 The Consultant shall be the Owner's representative to observe and perform tests during clearing, grubbing, filling, and compaction operations. In general, no more than 2 feet in vertical elevation of *soil* or *soil-rock* fill should be placed without at least one field density test being performed within that interval. In addition, a minimum of one field density test should be performed for every 2,000 cubic yards of *soil* or *soil-rock* fill placed and compacted.
- 8.2 The Consultant should perform a sufficient distribution of field density tests of the compacted *soil* or *soil-rock* fill to provide a basis for expressing an opinion whether the fill material is compacted as specified. Density tests shall be performed in the compacted materials below any disturbed surface. When these tests indicate that the density of any layer of fill or portion thereof is below that specified, the particular layer or areas represented by the test shall be reworked until the specified density has been achieved.
- 8.3 During placement of *rock* fill, the Consultant should observe that the minimum number of passes have been obtained per the criteria discussed in Section 6.3.3. The Consultant should request the excavation of observation pits and may perform plate bearing tests on the placed *rock* fills. The observation pits will be excavated to provide a basis for expressing an opinion as to whether the *rock* fill is properly seated and sufficient moisture has been applied to the material. When observations indicate that a layer of *rock* fill or any portion thereof is below that specified, the affected layer or area shall be reworked until the *rock* fill has been adequately seated and sufficient moisture applied.
- 8.4 A settlement monitoring program designed by the Consultant may be conducted in areas of *rock* fill placement. The specific design of the monitoring program shall be as recommended in the Conclusions and Recommendations section of the project Geotechnical Report or in the final report of testing and observation services performed during grading.
- 8.5 We should observe the placement of subdrains, to check that the drainage devices have been placed and constructed in substantial conformance with project specifications.
- 8.6 Testing procedures shall conform to the following Standards as appropriate:

8.6.1 Soil and Soil-Rock Fills:

- 8.6.1.1 Field Density Test, ASTM D 1556, *Density of Soil In-Place By the Sand-Cone Method.*

- 8.6.1.2 Field Density Test, Nuclear Method, ASTM D 6938, *Density of Soil and Soil-Aggregate In-Place by Nuclear Methods (Shallow Depth)*.
- 8.6.1.3 Laboratory Compaction Test, ASTM D 1557, *Moisture-Density Relations of Soils and Soil-Aggregate Mixtures Using 10-Pound Hammer and 18-Inch Drop*.
- 8.6.1.4 Expansion Index Test, ASTM D 4829, *Expansion Index Test*.

9. PROTECTION OF WORK

- 9.1 During construction, the Contractor shall properly grade all excavated surfaces to provide positive drainage and prevent ponding of water. Drainage of surface water shall be controlled to avoid damage to adjoining properties or to finished work on the site. The Contractor shall take remedial measures to prevent erosion of freshly graded areas until such time as permanent drainage and erosion control features have been installed. Areas subjected to erosion or sedimentation shall be properly prepared in accordance with the Specifications prior to placing additional fill or structures.
- 9.2 After completion of grading as observed and tested by the Consultant, no further excavation or filling shall be conducted except in conjunction with the services of the Consultant.

10. CERTIFICATIONS AND FINAL REPORTS

- 10.1 Upon completion of the work, Contractor shall furnish Owner a certification by the Civil Engineer stating that the lots and/or building pads are graded to within 0.1 foot vertically of elevations shown on the grading plan and that all tops and toes of slopes are within 0.5 foot horizontally of the positions shown on the grading plans. After installation of a section of subdrain, the project Civil Engineer should survey its location and prepare an *as-built* plan of the subdrain location. The project Civil Engineer should verify the proper outlet for the subdrains and the Contractor should ensure that the drain system is free of obstructions.
- 10.2 The Owner is responsible for furnishing a final as-graded soil and geologic report satisfactory to the appropriate governing or accepting agencies. The as-graded report should be prepared and signed by a California licensed Civil Engineer experienced in geotechnical engineering and by a California Certified Engineering Geologist, indicating that the geotechnical aspects of the grading were performed in substantial conformance with the Specifications or approved changes to the Specifications.

LIST OF REFERENCES

1. *2022 California Building Code, California Code of Regulations, Title 24, Part 2, based on the 2021 International Building Code*, prepared by California Building Standards Commission, dated July 2022.
2. *ACI 318-19, Commentary on Building Code Requirements for Structural Concrete*, prepared by the American Concrete Institute, dated May 2019.
3. *ACI 330-21, Commercial Concrete Parking Lots and Site Paving Design and Construction*, prepared by the American Concrete Institute, dated May 2021.
4. American Society of Civil Engineers (ASCE), *ASCE 7-16, Minimum Design Loads and Associated Criteria for Buildings and Other Structures*, 2017.
5. California Department of Conservation, Division of Mines and Geology, *Probabilistic Seismic Hazard Assessment for the State of California*, Open File Report 96-08, 1996.
6. County of San Diego, *San Diego County Multi Jurisdiction Hazard Mitigation Plan, San Diego, California – Final Draft*, dated 2017
7. Historical Aerial Photos. <http://www.historicaerials.com>
8. Jennings, C. W., 1994, California Division of Mines and Geology, *Fault Activity Map of California and Adjacent Areas*, California Geologic Data Map Series Map No. 6.
9. Kennedy, M. P., and S. S. Tan, *Geologic Map of the San Diego 30'x60' Quadrangle, California*, USGS Regional Map Series Map No. 3, Scale 1:100,000, 2005.
10. Todd, Victoria R., *Preliminary Geologic Map of the El Cajon 30' x 60' Quadrangle, Southern California*, USGS, Open File Report 2004-1361, Scale 1:100,000, 2004.
11. Special Publication 117A, *Guidelines For Evaluating and Mitigating Seismic Hazards in California 2008*, California Geological Survey, Revised and Re-adopted September 11, 2008
12. Unpublished Geotechnical Reports and Information, Geocon Incorporated.
13. USGS computer program, *Seismic Hazard Curves and Uniform Hazard Response Spectra*, <http://earthquake.usgs.gov/research/hazmaps/design/>.